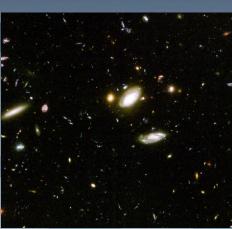


# Astrophysics









Joint Astrophysics PAG Session

AAS 227<sup>th</sup> Meeting Kissimmee, Florida January 4, 2016

Paul Hertz
Director, Astrophysics Division
Science Mission Directorate
@PHertzNASA

This presentation will be posted at <a href="http://cor.gsfc.nasa.gov/copag/">http://cor.gsfc.nasa.gov/copag/</a>

## Visiting Experienced Scientists at NASA HQ



#### Looking for a few good astrophysicists....

- Seeking one or more experienced scientists
  - to take leave from their U.S. home institution
  - for a 2-year visiting position (can extend up to 6 years)
  - to work in Astrophysics at NASA Headquarters
- Duties include:
  - Management of the NASA astrophysics grants programs
  - Planning, development, and management of NASA missions
  - Strategic planning for the future of NASA astrophysics
- Requires Ph.D., research experience, familiarity with NASA award programs and/or missions, and the ability to communicate effectively
- For additional info, talk with any of the Astrophysics HQ staff.

Applications welcome until position is filled

https://jobregister.aas.org/job\_view?JobID=51984

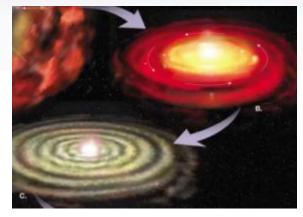
## Why Astrophysics?



# Astrophysics is humankind's scientific endeavor to understand the universe and our place in it.



1. How did our universe begin and evolve?

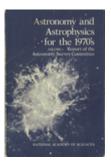


2. How did galaxies, stars, and planets come to be?

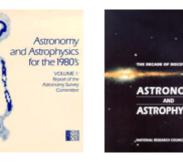


3. Are We Alone?

These national strategic drivers are enduring



1972 1982



1991



2001



2010

## **Astrophysics Programs**

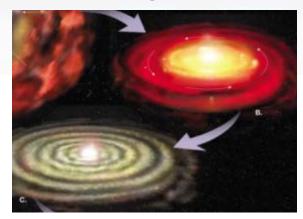


#### Physics of the Cosmos Program



1. How did our universe begin and evolve?

## Cosmic Origins Program



2. How did galaxies, stars, and planets come to be?

## **Exoplanet Exploration Program**



3. Are We Alone?

**Astrophysics Explorers Program** 

**Astrophysics Research Program** 

James Webb Space Telescope Program (managed outside of Astrophysics Division)

## **Astrophysics Programs**



#### Physics of the Cosmos Program



Chandra XMM-Newton (ESA)

Swift \*

Suzaku (JAXA) \*

Fermi

Planck (ESA)

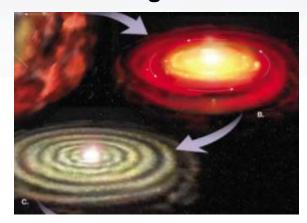
NuSTAR \*

LISA Pathfinder (ESA)

ASTRO-H (JAXA) \*

NICER \*

## Cosmic Origins Program



Hubble
Spitzer
Herschel (ESA)
SOFIA
Webb \*\*

CREAM \*\*\*

Euclid (ESA)

Athena (ESA)

L3 GW Obs (ESA)

## **Exoplanet Exploration Program**



Kepler/K2 TESS \* WFIRST

#### Managed elsewhere:

- \* Astrophysics Explorers\*\* James Webb Program
- \*\*\* Astrophysics Research

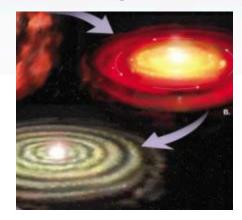
## **Astrophysics Programs**



Physics of the Cosmos Program



Cosmic Origins Program



Exploration Program



Center
Manager
Chief Scientist
Dep Chief Sci
Chief Tech
Tech Dev Mgr

HQ Scientist HQ Dep Sci HQ Executive

GSFC
Mansoor Ahmed
Ann Hornschemeier
Peter Bertone
Bernard Seery
Thai Pham

Rita Sambruna Dan Evans Shahid Habib

GSFC
Mansoor Ahmed
Susan Neff
Deborah Padgett
Bernard Seery
Thai Pham

Mario Perez Kartik Sheth Shahid Habib



JPL
Gary Blackwood
Karl Stapelfeldt
(vacant)
Nick Siegler
Nick Siegler

Doug Hudgins Martin Still John Gagosian



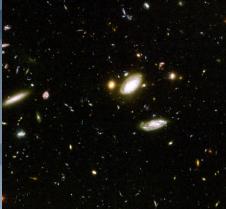
# Astrophysics

NASA's progress toward the 2010 Decadal Survey in Astronomy and Astrophysics



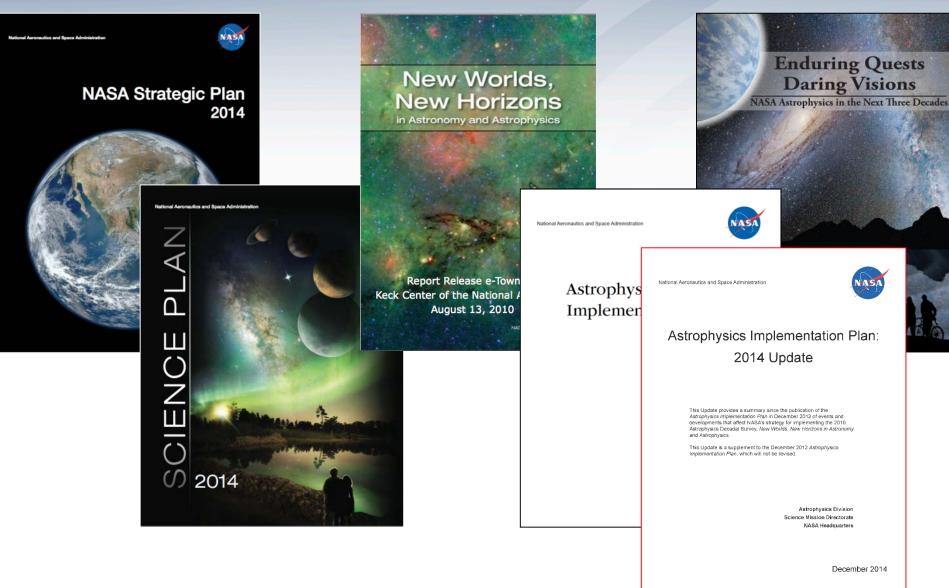






## **Astrophysics Driving Documents**





### **NASA's Decadal Strategy**



- Complete JWST, within budget, for launch in October 2018
- Highest priority is starting a new mission to follow JWST
  - Must be responsive to New Worlds, New Horizons (NWNH)
  - On track to start WFIRST in February 2016
- Driver for all planning is addressing NWNH priorities and recommendations within the available funding
  - All recommendations of NWNH are being addressed in some way
  - Due to changing budget environment, hard choices have been made. Many recommendations are not being addressed exactly as in NWNH
  - Also responsive to subsequent NRC studies (Implementing NWNH, Participating in Euclid, Assessing WFIRST-AFTA)
- Coordination and collaboration across organizational boundaries
  - International (ESA, JAXA, CSA, CNES, ASI, DLR, etc.), Interagency (NSF, DOE, NRO), Interdirectorate (HEOMD, STMD, OEd), Interdivision (PSD, ESD, HPD)
- Clear and frequent communication to the community regarding NASA's progress and plans
  - AAS Town Halls, continuous reporting to CAA/AAAC/APS, biennial publication of Astrophysics Implementation Plan and White Papers, use of Program Analysis Groups (PAGs), use of community based study and review teams (SAGs, CSTs, SDTs, STDTs, SWGs, etc.)

#### **Mid Term Review**



- The National Academies has formed an ad hoc Committee to conduct a Review of Progress Toward the Decadal Survey Vision in New Worlds, New Horizons in Astronomy and Astrophysics.
  - Jacqueline N. Hewitt (MIT) is the Chair
- Meetings:
  - October 8-10, 2015; Washington, DC (NASA presentation summarizing progress)
  - December 12-14, 2015; Irvine, CA (symposium)
  - January 11-13, 2016; Washington, DC
- Charge: In the context of funding circumstances that are substantially below those assumed in NWNH, the committee's review will include the following tasks:
  - 1. Describe the most significant scientific discoveries, technical advances, and relevant programmatic changes in astronomy and astrophysics over the years since the publication of the decadal survey;
  - 2. Assess how well the Agencies' programs address the strategies, goals, and priorities outlined in the 2010 decadal survey and other relevant NRC reports;
  - 3. Assess the progress toward realizing these strategies, goals, and priorities; and
  - 4. In the context of strategic advice provided for the Agencies' programs by Federal Advisory Committees, and in the context of mid-decade contingencies described in the decadal survey, recommend any actions that could be taken to maximize the science return of the Agencies' programs.

## Committee on the Review of Progress Toward the Decadal Survey Vision in New Worlds, New Horizons in Astronomy and Astrophysics

NASA

- Jaqueline N. Hewitt, MIT (Chair) [NWNH PPP]
- Adam S. Burrows, Princeton [Implement]
- Neil J. Cornish, Montana State [NWNH SFP]
- Andrew W. Howard, U. Hawaii-Manoa
- Bruce Macintosh, Stanford [CAA, NWNH PPP]
- Richard F. Mushotzky, U. Maryland [NWNH SFP]
- Angela V. Olinto, U. Chicago [NWNH PPP]
- Steven M. Ritz, UCSC [CAA, NWNH, Implement]
- Alexey Vikhlinin, Harvard-Smithsonian CfA [CAA]
- David H. Weinberg, Ohio State [NWNH SFP]
- Rainer Weiss, MIT
- Eric M. Wilcots, U. Wisconsin [CAA, NWNH SFP]
- Edward L. Wright, UCLA
- A. Thomas Young, Lockheed Martin, retired [CAA, NWNH, Implement, AFTA, LLBP]
- CAA Committee on Astronomy and Astrophysics
- NWNH New Worlds, New Horizons in Astronomy and Astrophysics (Blandford, 2010)
- Implement Implementing Recommendations from the New Worlds, New Horizons Decadal Survey (Burrows & Kennel, 2011)
- Euclid Assessment of a Plan for U.S. Participation in Euclid (Spergel, 2012)
- AFTA Evaluation of the Implementation of WFIRST/AFTA in the Context of New Worlds, New Horizons in Astronomy and Astrophysics (Harrison, 2014)
- OIR Optimizing the U.S. Ground-Based Optical and Infrared Astronomy System (Elmegreen, 2015)
- LLBP The Space Science Decadal Surveys: Lessons Learned and Best Practices (Dressler, 2015)

## **Progress Toward Decadal Survey Priorities**



## The NASA FY16 Appropriation and the notional out year budget planning guidance in the President's FY16 Budget Request support:

guidance in the President's FY16 Budget Request support:					
Complete JWST	JWST remains within budget guidelines and on track for an October 2018 launch.				
Large-scale 1. WFIRST	2 years of preformulation and focused technology development for WFIRST-AFTA (a 2.4m version of WFIRST with a coronagraph) are complete. Formulation (new start) planned to begin February 2016.				
Large-scale 2. Augmentation to Explorer Program	Astrophysics Explorers planned budget increased to support cadence of four AOs per decade including a SMEX AO in Fall 2014 and a MIDEX AO in Fall 2016.				
Large-scale 3. LISA	Planning partnership on ESA's L3 gravitational wave observatory and participating in ESA-led assessments in 2014-2015. Strategic astrophysics technology investments plus support of LISA Pathfinder.				
Large-scale 4. IXO	Planning a partnership on ESA's L2 Athena X-ray observatory; the Athena study phase, with U.S. participation, is underway. Strategic astrophysics technology investments.				
Medium-scale 1. New Worlds Technology Development Program	Focused technology development for coronagraph on WFIRST, strategic astrophysics technology investments, exoplanet probe mission concept studies. Partnership with NSF to develop precision Doppler spectrometer as facility instrument. Exozodi survey using LBTI.				

## **Progress Toward Decadal Survey Priorities**

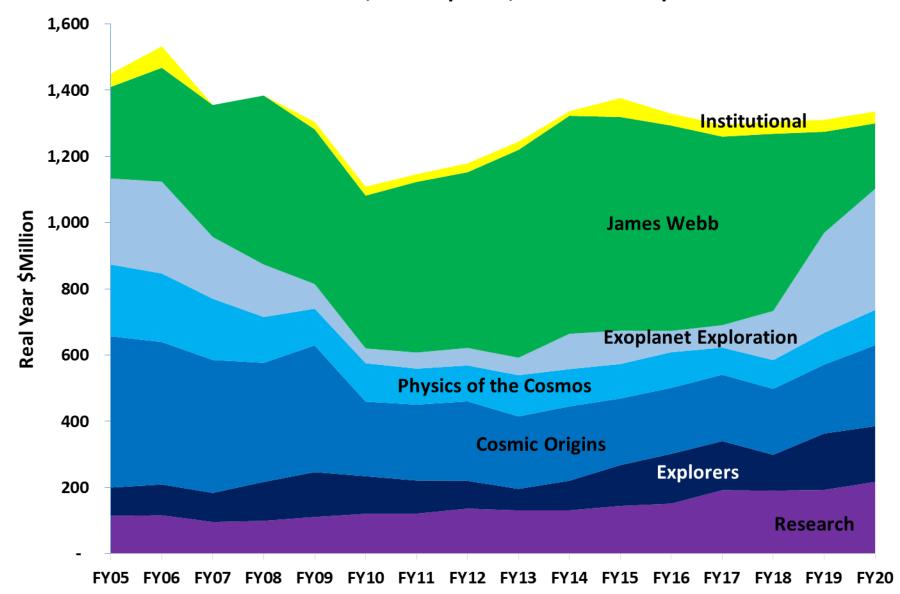


## The NASA FY16 Appropriation and the notional out year budget planning guidance in the President's FY16 Budget Request support:

guidance in the President's FY16 Budget Request support:					
Medium-scale 2. Inflation Probe Technology Development	Balloon-borne investigations plus strategic astrophysics technology investments. Studying partnership on JAXA's LiteBIRD.				
Small-scale. Research Program Augmentations	Increased annual R&A budget by 10% from FY10 to FY12 and another 10% from FY14 to FY16. Within R&A: established Theoretical and Computational Astrophysics Networks program with NSF; funding available for astrophysics theory; funding available for lab astrophysics; funding available for suborbital payloads.				
Small-scale. Intermediate Technology development Augmentation	Established competed Strategic Astrophysics Technology program element; directed technology funding for WFIRST and other large-scale decadal priorities (e.g., WFIRST coronagraph, Athena).				
Small-scale. Future Ultraviolet-Visible Space Capability	Strategic Astrophysics Technology and Astrophysics R&A investments; mission concept studies.				
Small-scale. SPICA (U.S. contribution to JAXA-led)	Not supported as a strategic contribution; candidate for Explorer Mission of Opportunity.				

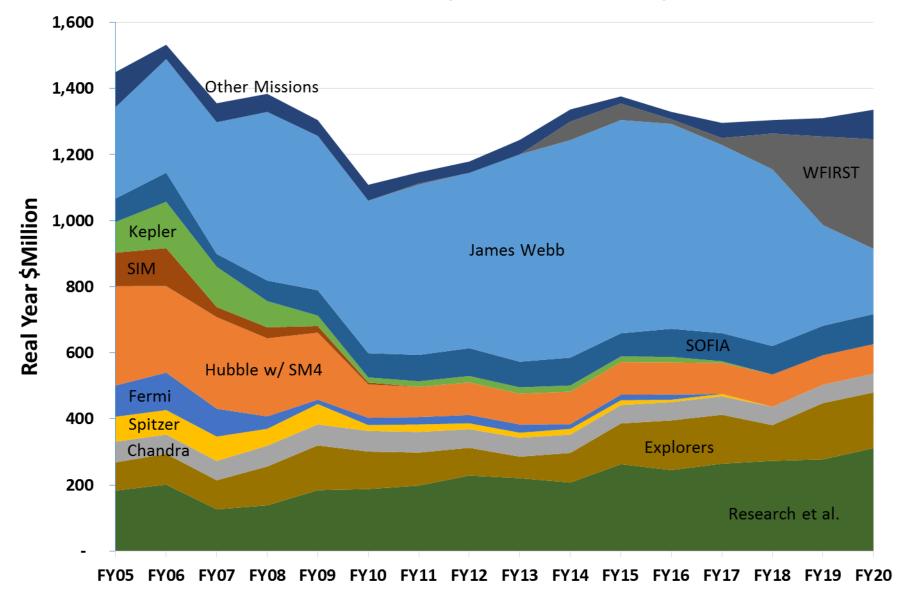


## Astrophysics Budget by Program FY05-FY14 Actual, FY15 Op Plan, FY16-FY20 Request



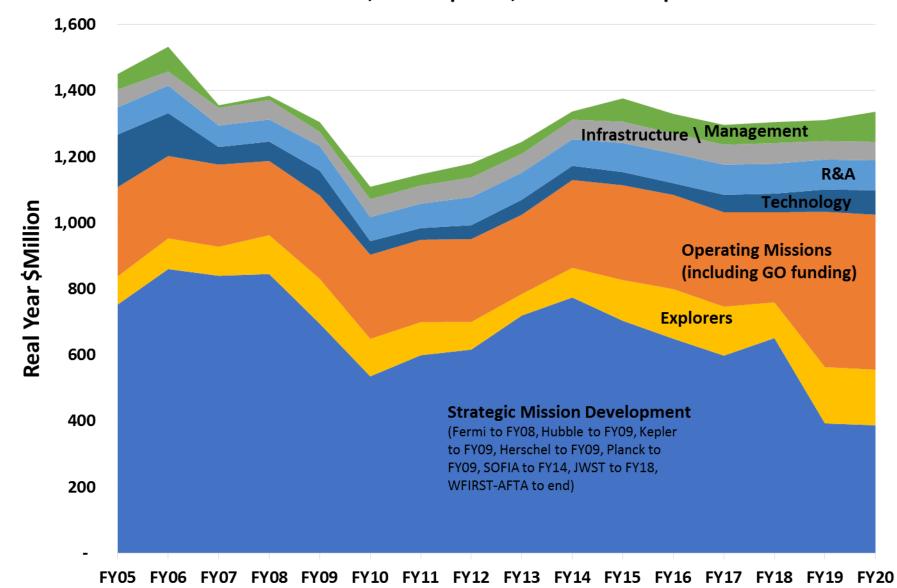


## Astrophysics Budget by Project FY05-FY14 Actual, FY15 Op Plan, FY16-FY20 Request





## Astrophysics Budget by Function FY05-FY14 Actual, FY15 Op Plan, FY16-FY20 Request



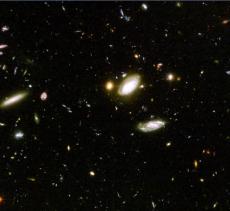


# Astrophysics in 2016





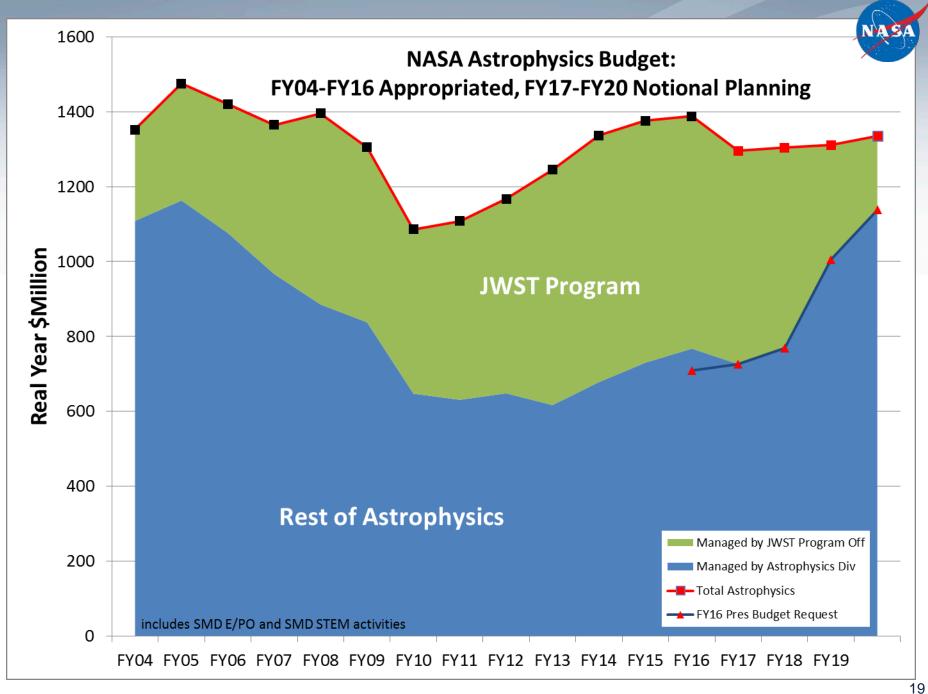


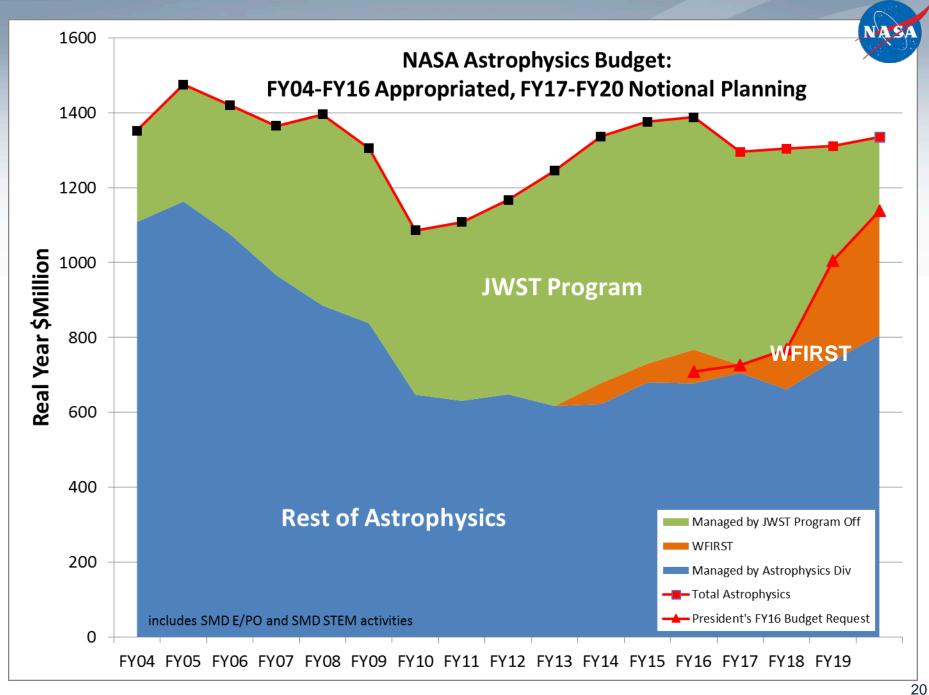


### **Astrophysics - Big Picture**



- The FY16 appropriation provides funding for NASA astrophysics to continue its programs, missions, projects, and supporting research and technology.
  - The total funding (Astrophysics including JWST) remains at ~\$1.3B.
  - Fully funds JWST to remain on plan for an October 2018 launch.
  - Funds new start for WFIRST, start of formulation planned for February 2016.
  - Will require some adjustments to FY16 plans in response to appropriation levels.
- The operating missions continue to generate important and compelling science results, and new missions are under development for the future.
  - Chandra, Fermi, Hubble, Kepler/K2, NuSTAR, Spitzer, Swift, ESA's XMM-Newton all operating well; Senior Review is in Spring 2016 for FY17 and beyond; JAXA's Suzaku mission ended.
  - SOFIA is in 5-year prime operations as of May 2014; Senior Review is in Spring 2018.
  - ESA's LISA Pathfinder successfully launched on December 3, 2015.
  - Missions on track for launch include JAXA's ASTRO-H (2016), NICER (2016), ISS-CREAM (2016), TESS (2017), JWST (2018), ESA's Euclid (2020).
  - WFIRST starting formulation, next Explorers being selected (SMEX in 2015, MIDEX in 2017), NASA joining ESA's Athena and ESA's L3 gravitational wave observatory.
- Progress being made toward recommendations of the 2010 Decadal Survey.
  - Update to the Astrophysics Implementation Plan released in December 2014.
  - NRC Mid Decade Review (with NSF, DOE) underway; Jackie Hewitt (MIT) is chair; NASA briefing at October 2015 meeting; NRC committee report expected in May 2016.
  - NASA initiating large mission concept studies as input for 2020 Decadal Survey.





#### **FY16 Appropriation**



Outyears are notional planning from FY16 President's budget request

(\$M)	2014	2015	2016	2017	2018	2019	2020
Astrophysics*	\$678	\$685	\$731	\$707	\$750	\$986	\$1118
JWST	\$658	\$645	\$620	\$569	\$535	\$305	\$198
Total	\$1336	\$1330	\$1351	\$1273	\$1285	\$1291	\$1316

<sup>\*</sup> Excludes "SMD STEM Activities" in all years.

- Provides \$90M for WFIRST and directs NASA to start Formulation.
- Provides full funding (\$85M) for SOFIA operations and places SOFIA into the 2018 Astrophysics Senior Review.
- Provides full funding (\$98M) for continued Hubble operations.
- Provides \$37M for SMD STEM education activities.
- Requires reduction of \$36M in rest of Astrophysics portfolio.

(\$M)	FY16 Request	FY16 Approps	Delta
JWST	\$620	\$620	
WFIRST	\$14	\$90	+\$76
SOFIA	\$85	\$85	
Hubble	\$97	\$98	+\$1
Rest of Astrophys*	\$493	\$457	-\$36 (-7%)
Total	\$1309	\$1351	+\$42

<sup>\*</sup> Excludes "SMD STEM Activities."

#### **JWST James Webb Space Telescope**





#### **2015 Accomplishments**

Completed Telescope Structure

Wednesday, 6:30 - 8:00 pm, Sun A

- Completed second Telescope Pathfinder test at JSC
- All updates/fixes made to ISIM following 2<sup>nd</sup> cryovacuum test
- Spacecraft Bus Structure delivered to I&T
- Final ISIM cryovacuum test started
- Mirror installation onto Telescope Structure started

#### **2016 Plans**

- Complete ISIM cryovacuum testing
- Complete mirror installation
- Install ISIM into Telescope Structure
- Complete Flight Sunshield Membranes
- Conduct final GSE test at JSC before test of Flight telescope and instruments

Instruments: Near IR Camera, Near IR Spectrograph, Mid IR Instrument, Near IR Imager and Slitless Spectrograph

**Large Infrared Space Observatory** 

Top priority of 2000 Decadal Survey

Science themes: First Light; Assembly of

Planetary Systems and the Origins of Life

Mission: 6.5m deployable, segmented telescope at L2, passively cooled to <50K

behind a large, deployable sunshield

Galaxies; Birth of Stars and Planetary Systems;

**Operations**: 2018 launch for a 5-year prime

mission

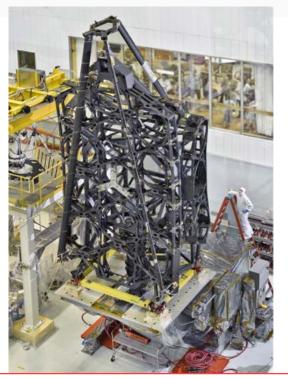
Partners: ESA, CSA

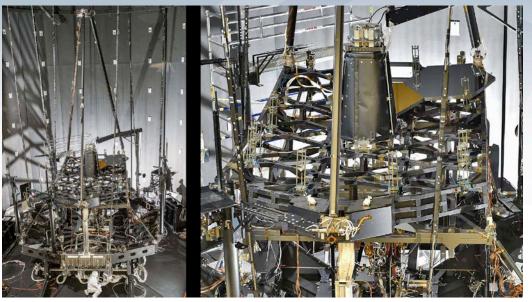
http://www.jwst.nasa.gov/

### **JWST Hardware Progress**











JWST remains on track for an October 2018 launch within its replan budget guidelines

#### WFIRST - AFTA

Wide-Field Infrared Survey Telescope with Astrophysics Focused Telescope Assets





WFIRST Science Town Hall, Tuesday 7:00 pm - 9:00 pm, Tampa

#### **CURRENT STATUS:**

- Completed Mission Concept Review (MCR) held in December 2015
- Formulation Science Investigation Teams selected in December 2015
- Planning for Key Decision Point A (KDP-A) in Feb 2016
  - Official start of formulation phase
  - Supported by FY16 appropriations
- Industry RFI released July 2015; notification of call for industry studies announced in December 2015
- Other activities include:
  - Technology development for detectors and coronagraph (with STMD); prototyping key parts
  - Assessment of telescopes + risk mitigation
  - Mission design trades; performance simulations
- Maturing key technologies by FY19
  - H4RG infrared detectors for widefield imager
  - Internal coronagraph for exoplanet characterization
  - Milestones on road to achieve TRL-5 by end of CY16,
     TRL-6 by end of CY18; reports made public

#### **Wide-Field Infrared Survey Telescope**

Top priority of 2010 Decadal Survey

Science themes: Dark Energy, Exoplanets,

Large Area Near Infrared Surveys

**Mission:** 2.4m widefield telescope at L2; using existing hardware, images 0.28deg<sup>2</sup> at 0.8-2µm

Instruments (design reference mission):

Wide Field Instrument (camera plus IFU), Coronagraph Instrument (imaging/IFS)

Phase: Currently in pre-formulation

http://wfirst.gsfc.nasa.gov/

WFIRST starts Formulation in February 2016 24

#### WFIRST - AFTA





#### WFIRST Science Investigation Teams

PI	PI Institution	Title	Topic	
Olivier Dore	JPL	Cosmology with the WFIRST High Latitude Survey	Galaxy Redshift Survey, Weak Lensing Survey	
Ryan Foley	Illinois	Optimizing the WFIRST Type Ia Supernova Survey	Supernovae Survey	
Scott Gaudi	Ohio State	Preparing for the WFIRST Microlensing Survey	Microlensing Survey	
Jeremy Kasdin	Princeton	WFIRST Coronagraph Instrument Adjutant Scientist	Coronagraph Instrument	
Bruce Macintosh	Stanford	Optimizing WFIRST Coronagraph Science	Coronagraphy	
Saul Perimutter LBNL		Investigating the Nature of Dark Energy using Type Ia Supernovae	Supernovae Survey	
James Rhoads Arizona State		Cosmic Dawn with WFIRST	GI/GO	
Brant Robertson UC Santa Cruz		WFIRST Extragalactic Potential Observations	GI/GO	
David Spergel Princeto		WFIRST Wide Field Instrument Adjutant Scientist	Widefield Instrument	
Alexander Szalay	Johns Hopkins	Archival Research Capabilities of the WFIRST Data Set	GI/GO	
Margaret Turnbull	SETI Institute	Harnessing the Power of the WFIRST Coronagraph	Coronagraphy	
Benjamin Williams	amin Williams Washington WFIRST Infrared Nearby Galaxy Survey		GI/GO	

#### WFIRST - AFTA



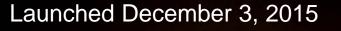


С	Coronagraph Technology Milestones				
1	Shaped Pupil mask fabricated with 7/21/1 reflectivity of 10-4 and 20 µm pixel size.				
2	Shaped Pupil Coronagraph demos 10 <sup>-8</sup> raw contrast with narrowband light.	9/30/14			
3	PIAACMC mask fabricated with 10 <sup>-8</sup> raw contrast with 10% broadband light.	12/15/14			
4	Hybrid Lyot Coronagraph demos 10 <sup>-8</sup> raw contrast with narrowband light.	2/28/15			
5	Occulting Mask Coronagraph demos 10 <sup>-8</sup> raw contrast with 10% broadband light.	9/15/15			
6	Low Order Wavefront Sensing provides jitter sensing better than 0.4 mas rms.	9/30/15			
7	Spectrograph read-out demo to have low dark current and read noise.	8/25/16			
8	PIAACMC coronagraph demos 10 <sup>-8</sup> raw contrast with 10% broadband light.	9/30/16			
9	Occulting Mask Coronagraph demos 10 <sup>-8</sup> raw contrast with 10% broadband light.	9/30/16			

W	Widefield Detector Technology Milestones				
1	Produce, test, and analyze 2 candidate passivation techniques in banded arrays.	7/31/14			
2	Produce, test, and analyze 1 additional candidate passivation techniques in banded arrays.	12/30/14			
3	Produce, test, and analyze full arrays with operability > 95%.	9/15/15			
4	Produce, test, and analyze final selected recipe in full arrays demonstrating a yield > 20% with operability > 95%.	9/15/16			
5	Complete environmental testing of one sensor chip assembly, as per NASA test standards.	12/1/16			

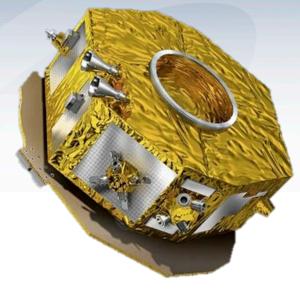
#### LISA Pathfinder ST-7/Disturbance Reduction System (DRS)











Dec 3 Launch

Dec 11 On way to L1

Feb 3 Uncage test masses

Mar 4 Commissioning

June/July DRS ops

https://lisapathfinder.org/

### NASA's L3 Study

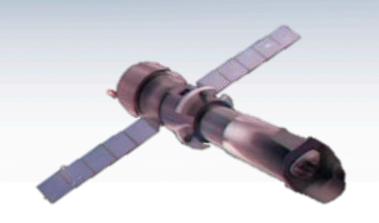


- NASA intends to partner with ESA on the ESA-led Large 3 (L3) gravitational wave mission with launch in 2034. This responds to the recommendations of the 2010 Astrophysics Decadal for a space-based gravitational wave observatory.
- Following the successful launch of the LISA Path Finder, NASA is forming an L3 Study Team (L3ST) drawing membership from members of the US astrophysics community.
- The goals of the L3ST are:
  - 1. Analyze the options for NASA participation in the L3 mission and work with the European L3 consortium on proposals to ESA; and
  - 2. Prepare a report to the 2020 Decadal Survey on NASA's participation, including possible options, in the L3 mission as a minority partner.
- Dear Colleague Letter on December 7, 2015; applications due December 21, 2015; members to be announced NLT January 15, 2016.
- The L3ST Charter, a list of FAQs, and list of selected members (after January 15) can be found at <a href="http://pcos.gsfc.nasa.gov/studies">http://pcos.gsfc.nasa.gov/studies</a>.

#### **Athena**

#### Advanced Telescope for High Energy Astrophysics





#### • Second ESA Cosmic Vision Large mission

- L-class with NASA/JAXA participation
- Decadal Survey recommendation
- Large X-ray mirror, X-IFU and WFI instruments
- Launch Date: 2028
- Breakthrough Technologies:
  - High Throughput, Wide FOV, High spectral resolution X-ray Astronomy
  - 10x Chandra area, 100x improved nondispersive spectral resolution, 5x FOV.
- Science Objectives: The Hot and Energetic Universe: How does ordinary matter assemble into the large scale structures that we see today? How do black holes grow and shape the Universe?

#### **CURRENT STATUS:**

- Selected as 2nd Large mission in ESA Cosmic Visions Program.
- Currently in 2 year Study Phase.
- NASA and US community involved in Study Phase via membership on ESA-chartered Athena Science Study Team and Science Working Groups.
- NASA budgeting for a \$100M-\$150M hardware contribution, plus a U.S. GO program and a U.S. data center.
- NASA will provide the sensor array for the X-ray Integral Field Unit (calorimeter).
- NASA and ESA are discussing other possible NASA contributions, such as:
  - A contribution to the Wide Field Imager
  - Use of the NASA XRCF for Calibration
  - Contribution to ESA science data center (U.S. node)
- NASA continues to invest in Athena technologies via SAT and directed investigations.

### **New Worlds Technology**



- 2010: Technology Development for Exoplanet Missions (TDEM) element of Strategic Astrophysics Technology (SAT) program (introduced June 2009) is refocused to support New Worlds Technology Development priorities
- 2013: Decision to include a coronagraph technology demonstration instrument on WFIRST-AFTA
- 2013-2015: Exoplanet Probe Studies
- 2014: Established NN-EXPLORE partnership with NSF to develop a facility radial velocity spectrometer for the WIYN telescope
- 2015: LBTI passed Operational Readiness Review
- 2015: Starshade Readiness Working Group will establish investments and activities necessary to attain TRL-6 for a starshade
- 2016-2019: Mission concept studies for two exoplanet characterization missions (HabEx and LUVOIR)

### **Inflation Probe Technology**



- Planck extension and support of data analysis for third archival release in 2015
- Suborbital (balloon) Investigations:
  - E and B Experiment (EBEX), PI: S. Hanany (U. Minnesota). Flew in Antarctica in 2012-2013
  - SPIDER, PI. W. Jones (Princeton). Flew in Antarctica in 2014-2015; hope to refly in 2016-2017 (currently on the ice awaiting recovery)
  - Primordial Inflation Polarization Explorer (PIPER), PI: A. Kogut (GSFC). Scheduled to fly in Ft. Sumner in Fall 2016
- Technology investments (detectors and other systems):
  - APRA: total funding in 2010-2015 of \$14.2M for 27 investigations; does not include ROSES-14 selections for FY16 new starts
  - SAT: total funding in 2010-2015 of \$3.4M for 2 investigations; does not include ROSES-14 selections for FY16 new starts
- Selection in 2015 for a Phase A study of U.S. Participation in the Japanese LiteBIRD Mission as an Explorer Mission of Opportunity, PI: A. Lee (UC Berkeley)
- Pending the report from the Midterm Committee, the rest of the decade might include:
  - Continued investments in detector technology and suborbital investigations
  - Consider any Inflation Probe proposals submitted to the 2016 MIDEX AO
  - Possible downselect of LiteBIRD for flight in both Japan and U.S.
  - Consider U.S. participation proposed for a European Inflation Probe (possible M5)
  - Possible study of an Inflation Probe strategic mission for the 2020 Decadal Survey

# Strategic Technology (addressing the technology gap)

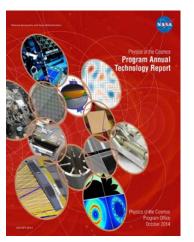


- Technology gaps are identified and prioritized in the Program Annual Technology Reports (PATRs).
  - PATRs are developed with considerable community input including an open call for identification of technology gaps and use of community based Program Analysis Groups and Technology Assessment Committees to prioritize technology gaps.
  - Gap lists serve to identify where technology development is needed.

http://cor.gsfc.nasa.gov/technology/ http://exep.jpl.nasa.gov/technology/ http://pcos.gsfc.nasa.gov/technology/



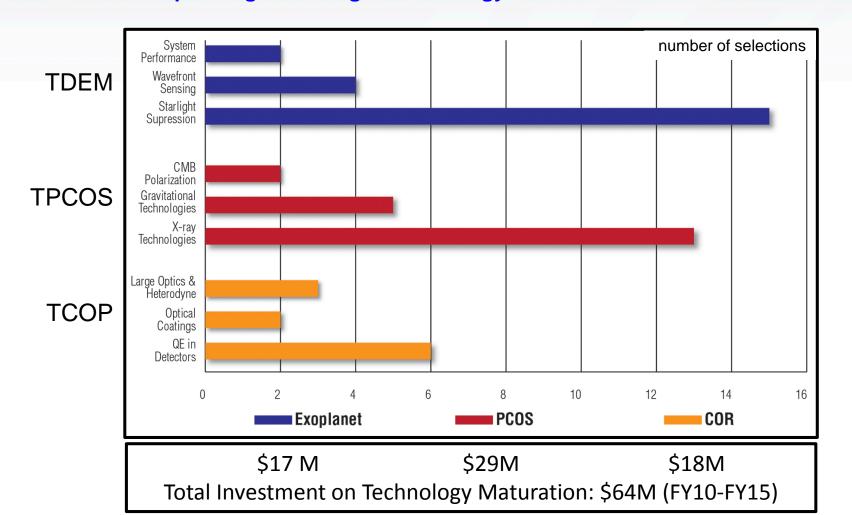




# Strategic Technology (addressing the technology gap)

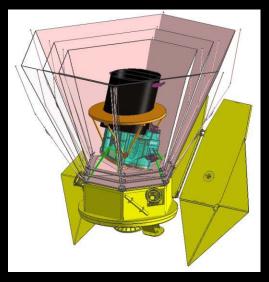


- SAT Selections Summary
  - TDEM: http://exep.jpl.nasa.gov/technology/
  - TPCOS: http://pcos.gsfc.nasa.gov/technology/
  - TCOP: http://cor.gsfc.nasa.gov/technology/

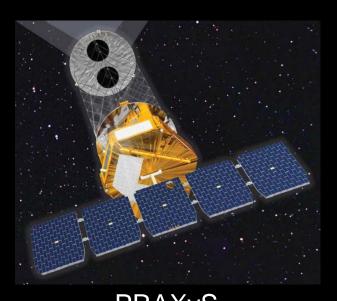


#### Astrophysics SMEX/MO Missions in Formulation





SPHEREX
PI: J. Bock, Caltech
An All-Sky Near-IR
Spectral Survey



PRAXyS
PI: K. Jahoda, GSFC
Polarimeter for Relativistic
Astrophysical X-ray
Sources

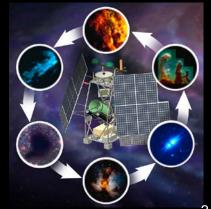


IXPE
PI: M. Weisskopf, MSFC
Imaging X-ray Polarimetry
Explorer



PI: A. Lee, UC Berkeley US Participation in JAXA's LiteBIRD CMB Polarization Survey

PI: C. Walker, U. Arizona GUSTO: Gal/Xgal U/LDB Spectroscopic - Stratospheric Terahertz Observatory



### **Astrophysics Explorers Program**



- Explorer budget augmented to support 4 AOs per decade
  - 2 SMEX AOs w/ PI-managed cost cap ~\$125M
  - 2 MIDEX AOs w/ PI-managed cost cap ~\$250M
  - 1 MO per AO w/ PI-managed cost cap ~\$70M
- Prior year spending, FY15 appropriation, and FY16 budget request support the following AO schedule (not yet adjusted for FY16 appropriation)

AO Type	AO Date	Launch Date	Missions
SMEX + MO	February 2003	June 13, 2012	NuSTAR
SIVIEX + IVIO	rebluary 2003		No MO downselected
SMEX + MO	Cantambar 2007		GEMS; mission non-confirmed
SIVIEX + IVIO	September 2007	NET Nov 2015	SXS on ASTRO-H (Partner MO)
MIDEX + MO	November 2010	August 2017	TESS
WIDEX + WO	November 2010	August 2016	NICER (Small mission MO)
MO-only	September 2012		No selection made
SMEX+ MO	Contombor 2014	~2020	IXPE, PRAXyS, or SPHEREx
SIVIEX+ IVIO	September 2014	TBD	LiteBIRD or GUSTO
MIDEX + MO	~Late 2016	~2023	
SMEX + MO	~2019 (TBC)	~2025	
MIDEX + MO	~2021 (TBC)	~2028	

#### **Explorers MIDEX and MO AO in 2016**



- The target schedule for the solicitation:
  - Release of draft AO: Spring 2016 (target)
  - Release of final AO: Late summer 2016 (target)
  - Proposals due: 90 days after AO release
  - Selection for 9-month competitive Phase A studies: Summer 2017 (target)
  - Down-selection: Late 2018 (target)

#### MIDEX Parameters

- PI-managed mission cost cap is \$250M (FY17\$), not including the cost of the Expendable Launch Vehicle (ELV) or any contributions.
- Standard launch services on an ELV will be provided for MIDEX missions at no charge against the mission cost cap; no MIDEX ISS-attached payloads.
- MIDEX launch readiness date no later than December 2023.

#### Mission of Opportunity Parameters

- PI-managed mission cost cap is \$70M (FY17\$) for Partner MOs and Small Complete Mission MOs, including ISS-attached payloads.
- PI-managed mission cost cap is \$35M (FY17\$) for suborbital-class MO.
- Small Complete Mission launch readiness date no later than December 2022.
- Partner Mission of Opportunity endorsement need date before January 2022.
- Astrophysics Explorer Program planning budget is sufficient to select and execute one MIDEX mission and one MO.

http://explorers.larc.nasa.gov/APMIDEX2016/

## NASA's Standard AO: Revision Process Underway



- NASA's Science Mission Directorate (SMD) issues Announcements of Opportunity (AOs) for PI-led missions (e.g. Explorers, Discovery, Earth Venture).
- SMD develops each individual AO solicitation by customizing an SMD framework document, known as the Standard AO Template.
- The Standard AO Template is being revised with goals of reducing the burden on proposers and increasing the efficiency of review.
- Modifications that could result in shortening and/or simplifying Step 1 proposals and other suggestions may be submitted via email by January 15, 2016, to Thomas Wagner at <a href="mailto:thomas.wagner@nasa.gov">thomas.wagner@nasa.gov</a> and Washito Sasamoto at <a href="mailto:washito.a.sasamoto@nasa.gov">washito.a.sasamoto@nasa.gov</a>.
- Revised version expected for release in April 2016.

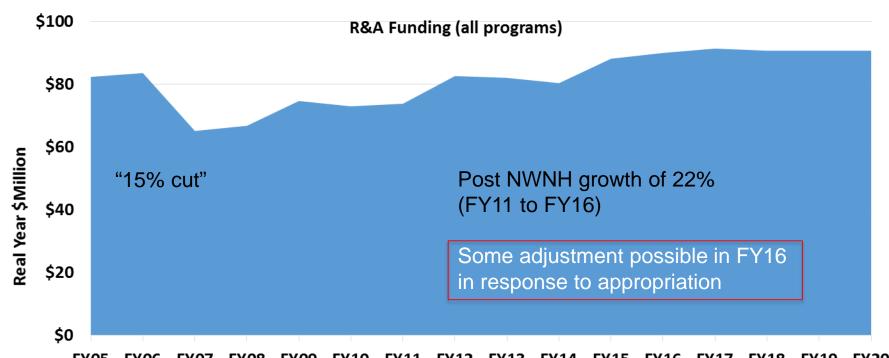
Comments are invited from the community, due by January 15, 2016

http://soma.larc.nasa.gov/standardao/sao\_templates.html

### **R&A Funding continues to Grow**

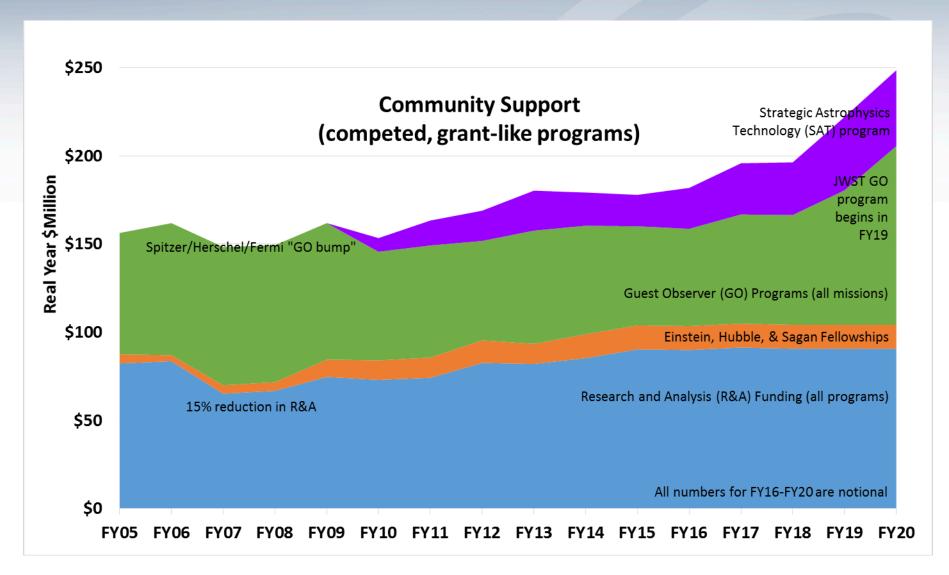


- Core R&A Funding includes
  - Astrophysics Research and Analysis (APRA): all years
  - Astrophysics Data Analysis Program (ADAP): all years
  - Astrophysics Theory Program (ATP): all years
  - Exoplanet Research Program (XRP), was Origins of Solar Systems (OSS): all years
  - Theoretical and Computational Astrophysics Networks (TCAN): FY14+
  - Nancy G. Roman Technology Fellowships (RTF): FY12+
  - Long Term Space Astrophysics (LTSA): through FY09, then into ADAP
  - Beyond Einstein Foundation Science (BEFS): through FY06, then into ATP
  - Does not include WFIRST Preparatory Science (WPS) or mission-funded theory



#### **Core Research**





### **Proposal Selections in 2015**



Status: January 1, 2016

				Status: January 1, 2016		
	Proposal Due Date	Notify Date	Days past received	Number received	Number selected	% selected
Kepler K2 GO – Cycle 1	Sep 23, 2014	Jan 16, 2015	115	92	36	39%
Swift GI – Cycle 11	Sep 25, 2014	Jan 6, 2015	123	165	39	24%
Roman Tech Fellows	Nov 6, 2014	Feb 3, 2015	89	8	3	38%
NuSTAR GO – Cycle 1	Nov 25, 2014	Apr 17, 2015	143	193	35	18%
Fermi GI – Cycle 8	Jan 22, 2015	June 26, 2015	155	190	36	19%
NESSF-15	Feb 6, 2015	June 2, 2015	116	134	10	7%
Kepler K2 GO – Cycle 2	Feb 27, 2015	June 12, 2015	105	76	35	46%
Chandra GO - Cycle 17	Mar 17, 2015	July 17, 2015	122	582	175	30%
APRA (Basic Research)	Mar 20, 2015	Aug 12, 2015	145	149	40	27%
SAT (Technology)	Mar 20, 2015	Aug 12, 2015	145	28	9	32%
Hubble GO - Cycle 23	Apr 10, 2015	June 24, 2015	75	1114	261	23%
EPDS (Doppler Spectr)	Apr 24, 2015	July 2, 2015	69	6	2	33%
ADAP (Data Analysis)	May 15, 2015	Sep 29, 2015	137	250	51	20%
Exoplanet Research	May 22, 2015	Oct 15, 2015	146	43	7	16%
Kepler K2 GO – Cycle 3	Jul 1, 2015	Oct 14, 2015	105	72	32	44%
SOFIA GI – Cycle 4	Jul 10, 2015	Oct 22, 2015	104	155	82	53%
Spitzer GO – Cycle 12	Sep 11, 2015	Oct 26, 2015	45	104	31	30%
SOFIA 3 <sup>rd</sup> Gen Instrument	Oct 7, 2015	Dec 10, 2015	64	3	2	67%
WFIRST Sci. Inv. Teams	Oct 15, 2015	Dec 18, 2015	64	38	12	32%
Swift GI – Cycle 12	Sep 25, 2015					

Roman Tech Fellows

NuSTAR GO - Cycle 2

Nov 6, 2015

Dec 11, 2015

100% of 2015 selections announced within 155 days

R&A Selection Rate: 23% GO Selection Rate: 28%

### **Proposal Opportunities Expected in 2016**



#### **ROSES** research opportunities

- APRA/SAT, Exoplanet Research in March
- ADAP in May
- Astrophysics Theory in July
- Habitable Worlds in November

#### **ROSES Guest Observer/Guest Investigator opportunities**

- Fermi GI Cycle 10 in January
- Kepler K2 GO Cycle 4 in February
- ASTRO-H GO in July
- Swift GI Cycle 13 in September
- Kepler K2 GO Cycle 5 in October
- NuSTAR GO Cycle 3 in January 2017

#### Other Astrophysics Guest Observer opportunities

- Chandra Cycle 18 in March
- Hubble Cycle 23 in April
- SOFIA Cycle 5 and Spitzer Cycle 13 in June
- XMM-Newton in October

#### **Explorer MIDEX proposals: target date in late 2016**



#### **2016 Senior Review Timeline**



Action	Date	Done
Draft Call for Proposals issued	August 20, 2015	<b>✓</b>
Deadline to send comments on draft to NASA	September 10, 2015	<b>✓</b>
Final Call for Proposals issued	September 25, 2015	<b>√</b>
Senior Review Proposals due	January 22, 2016	
Main panel meets in Washington, DC	February 22-25, 2016	
HST review and site visit in Baltimore, MD	March 8-10, 2016	
CXO review and site visit in Cambridge, MA	March 22-24, 2016	
Delivery of panel reports to NASA HQ	April 2016	
NASA Response/direction to projects. Reports released on APD website.	May-June 2016	

#### For more information:

http://science.nasa.gov/astrophysics/2016-senior-review-operating-missions/



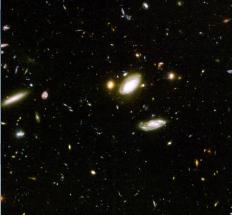
# Astrophysics

Preparing for the 2020 Decadal Survey in Astronomy and Astrophysics











# **ASTROPHYSICS**

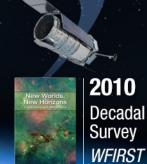
Decadal Survey Missions



Chandra

ASTROPHYSICS

1991 Decadal Survey Spitzer, SOFIA



2001 Decadal

Survey

**JWST** 



**1972**Decadal Survey
Hubble



#### **Notional 20 Year Sandchart**



1400 Assumes (1) President's FY16 budget request and notional runout through FY20, (2) flat funding for Astrophysics for FY21 through FY35, (3) completion of WFIRST-AFTA and other missions planned for new starts in FY16-FY20. 1200 **Future Strategic Missions** 1000 **WFIRST** 800 **JWST** Chandra 600 **HST Completion** Hubble **SOFIA** 400 **Explorers** 200 Research

FY20

FY21

FY22

FY23

FY19

FY14

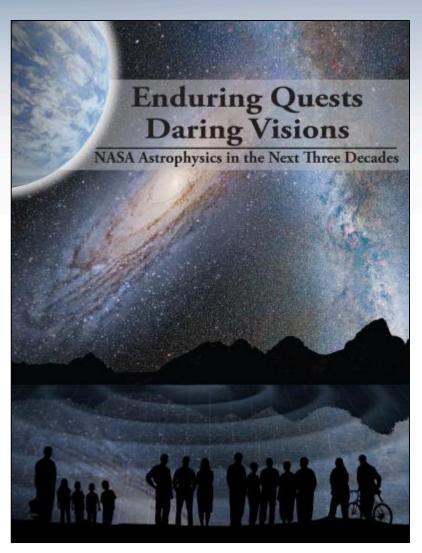
FY16

FY17

FY30

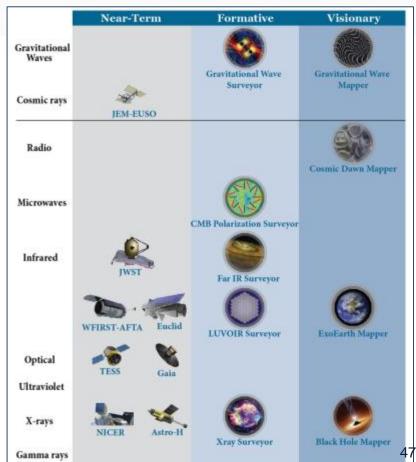
### **Astrophysics Visionary Roadmap**





http://science.nasa.gov/astrophysics/documents

- A 30 year vision to address the enduring questions:
  - Are we alone?
  - o How did we get here?
  - o How does the universe work?





- NASA will study large mission concepts as candidate prioritized large missions
  - Science case
  - Technology assessment
  - Design reference mission with strawman payload
  - Cost assessment
- Charge to the Astrophysics Program Analysis Groups (PAGs): COPAG, ExoPAG, PhysPAG (December 2014)
  - "I am charging the Astrophysics PAGs to solicit community input for the purpose of commenting on the small set [of large mission concepts to study], including adding or subtracting large mission concepts."
- PAGs reported to the Astrophysics Subcommittee in October 2015
  - PAGs unanimously endorsed a common set of four mission concepts to study
  - Astrophysics Subcommittee reported to the NAC Science Committee that NASA should study these four mission concepts
  - All three PAG reports posted at <a href="http://cor.gsfc.nasa.gov/copag/rfi/">http://cor.gsfc.nasa.gov/copag/rfi/</a>



NASA is initiating studies of the following four large mission concepts:

- FAR IR Surveyor The Astrophysics Visionary Roadmap identifies a Far IR Surveyor as contributing through improvements in sensitivity, spectroscopy, and angular resolution.
- Habitable-Exoplanet Imaging Mission The 2010 Decadal Survey recommends that a habitable-exoplanet imaging mission be studied in time for consideration by the 2020 Decadal Survey.
- Large UV/Optical/IR Surveyor —The Astrophysics Visionary Roadmap identifies a Large UV/Optical/IR Surveyor as contributing through improvements in sensitivity, spectroscopy, high contrast imaging, astrometry, angular resolution and/or wavelength coverage. The 2010 Decadal Survey recommends that NASA prepare for a UV mission to be considered by the 2020 Decadal Survey.
- X-ray Surveyor The Astrophysics Visionary Roadmap identifies an X-ray Surveyor as contributing through improvements in sensitivity, spectroscopy, and angular resolution.



NASA will initiate studies of the following four large mission concepts.

	Community STDT Chair	Center Study Scientist	Study Lead Center	HQ Program Scientist
Far IR Surveyor	TBD	David Leisawitz	GSFC	Kartik Sheth
Habitable Exoplanet Imaging Mission	TBD	Bertrand Mennesson	JPL	Martin Still
Large UV/Optical/IR Surveyor	TBD	Aki Roberge	GSFC	Mario Perez
X-ray Surveyor	TBD	Jessica Gaskin	MSFC	Dan Evans



NASA is asking for applications for membership on the four large mission concept Science and Technology Definition Teams (STDTs)

- STDTs have significant role and responsibility
  - Develop science case
  - Flow science case into mission requirements
  - Vet technology gap list
  - Direct trades of science vs cost/capability
- STDT members will be appointed by NASA HQ
  - Community call for applications will be released via NSPIRES and Astrophysics Programs mailing lists on the day after the AAS Town Hall
  - Responses requested by February 1, 2016
- STDTs will be chartered and managed by HQ
  - Charter and management plan available at

http://science.nasa.gov/astrophysics/2020-decadal-survey-planning/



- Applications for the STDTs are due to NASA by February 1, 2016.
- The application material should consist of:
  - A two-page cover letter describing
    - 1. The STDT of choice,
    - 2. The reasons for the submitter's interest in the STDT, and
    - 3. The capabilities and experience that the submitter brings to the STDT;
  - A short statement of commitment to perform the tasks assigned to the STDT within the allocated timeframe, and
  - A one or two page resume including relevant publications.

Applications are solicited from the community at U.S.-based research and academic institutions, Government laboratories, industry, and private individuals.

http://science.nasa.gov/astrophysics/2020-decadal-survey-planning/

# Preparing for the 2020 Decadal Survey Thinking about Probes



- Suggestion for the Decadal Survey: Recommend a Probe AO.
  - Similar to Planetary Science Division's New Frontiers AO
  - Decadal Survey prioritizes a short list of mission concepts that should be accomplished on a Probe budget for the Probe AO
  - NASA issues a Probe AO and selects a Probe proposal that is responsive in a compelling manner to Decadal Survey identified science objectives for one of the mission concepts (determined by peer review) and can be accomplished as a Probe (determined by TMC review)
  - Funding allotted to Probes "slows down" the large mission(s) that follow WFIRST
- Suggestion for the Decadal Survey: Identify probe concepts for further study
  - NASA is considering funding "probe studies," selected through ROSES, that do not include NASA-led mission design exercises or costing analysis
  - Decadal Survey could identify a few high priority probe concepts for further definitization and costing
- Probes continue to be discussed by Astrophysics PAGs.
- Plans for Probe Studies will be discussed at the March 2016 meeting of the Astrophysics Subcommittee.



Special Session this afternoon to discuss NASA's large mission concept studies and the call for STDT nominations and self-nominations

NASA Decadal Mission Studies and STDTs Wednesday, 2:00 pm - 4:00 pm, St. George 112

Agenda	
The Mission Concept Study Process and the STDT Membership Call	Paul Hertz, Astrophysics Director, NASA HQ
The Far Infrared Surveyor Study	David Leisawitz, FIR Surveyor Study Scientist, GSFC
The Habitable Exoplanet Imaging Mission Study	Bertrand Mennesson, HabEx Study Scientist, JPL
The Large Ultraviolet/Optical/Infrared Surveyor Study	Aki Roberge, LUVOIR Study Scientist, GSFC
The X-ray Surveyor Study	Jessica Gaskin, X-ray Surveyor Study Scientist, MSFC
Discussion and Q&A	

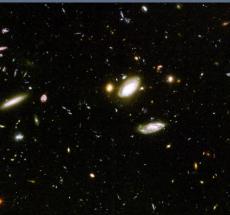


# Astrophysics





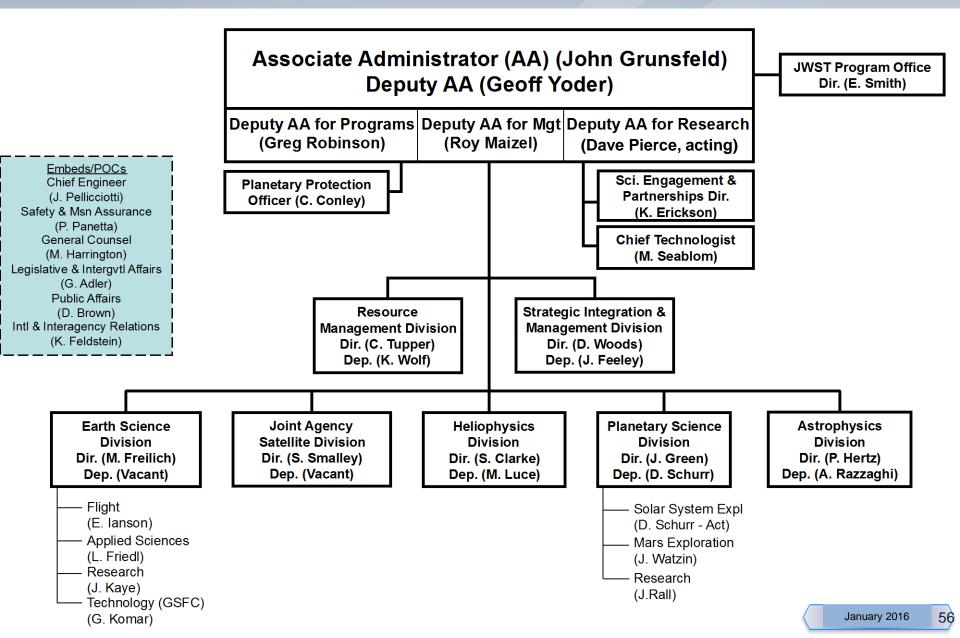




# BACKUP

### **SMD Organization Chart**





#### **Astrophysics Division - SMD**



#### Resource Management

Omana Cawthon+ Clemencia Gallegos-Kelly+

#### Director

Paul Hertz

Deputy Director Andrea Razzaghi

Lead Secretary: Kelly Johnson

Secretary: Leslie Allen

Program Support Specialist: Jackie Mackall

#### Cross Cutting

Technology Lead: Billy Lightsey\*

Division E/PO POC: Hashima Hasan (Lead Comm Team)

Division Public Affairs POC: Kartik Sheth Information Manager: Lisa Wainio\*

#### Astrophysics Research

Program Manager: Linda Sparke
Astrophysics Data Analysis: Doug Hudgins
Astrophysics Theory: Keith MacGregor\*
Exoplanet Research: Martin Still\*
APRA lead: Michael Garcia\*

Cosmic Rays, Fund Physics: Vernon Jones, Keith MacGregor\*

Gamma Ray/X-ray: Dan Evans, Michael Garcia\*, Stefan

Immler\*, Lou Kaluzienski, Rita Sambruna, Wilt Sanders\*

Optical/Ultraviolet: Michael Garcia\*, Hashima

Hasan, Mario Perez\*,

Martin Still\*

IR/Submillimeter/Radio: Dominic Benford\*, Doug

Hudgins, Kartik Sheth, Erin Smith\*

Lab Astro: Vacant

Theory & Comp Astro Net: Keith MacGregor\* Roman Tech Fellows: Billy Lightsey\* Data Archives: Hashima Hasan

Astrophysics Sounding Rockets: Wilt Sanders\*

Balloons Program: Vernon Jones(PS), Mark Sistilli (PE)

December 3, 2015

#### Programs / Missions

Program Scientist

Exoplanet Exploration (EXEP)
Program Doug Hudgins

Keck Hashima Hasan Kepler/K2 Mario Perez\* LBTI Hashima Hasan NExScl Hashima Hasan

Cosmic Origins (COR)

Program
Herschel
Hubble
JWST
SOFIA
Spitzer

Mario Perez\*
Dominic Benford\*
Michael Garcia\*
Hashima Hasan
Hashima Hasan
Erin Smith\*

Physics of the Cosmos (PCOS)

Program
Athena Michael Garcia\*
Chandra Stefan Immler\*
Euclid Linda Sparke
Fermi Keith MacGregor\*
Planck Rita Sambruna
ST-7/LPF Wilt Sanders\*

XMM-Newton Stefan Immler\*
Astrophysics Explorers (APEX)

Program
ASTRO-H
NICER
NuSTAR
Suzaku
Swift
TESS

Wilt Sanders\*
Lou Kaluzienski
Rita Sambruna
Lou Kaluzienski
Stefan Immler\*
Martin Still\*
Doug Hudgins

WFIRST-AFTA Dominic Benford\*

Program Executive

John Gagosian Mario Perez\*

Jeff Hayes Mario Perez\* Mario Perez\*

Shahid Habib\*

Jeff Hayes Jeff Hayes Ray Taylor^ Shahid Habib\* Jeff Hayes

Shahid Habib\*

Jeanne Davis Jeff Hayes

Keith Chamberlin\*

Jeff Hayes Jeff Hayes

Keith Chamberlin\*

Jeff Hayes

Mark Sistilli

Jeanne Davis Jeanne Davis Jeff Hayes Jeff Hayes Jeff Hayes Mark Sistilli

John Gagosian

- + Member of the Resources Mgmt Division
- \* Detailee, IPA, or contractor
- JWST is part of the JWST Program Office.

### **SMD Science Education Restructuring**



- Background FY16 Budget provides \$37M for NASA Science Education
- Why Restructure? To further enable NASA scientists and engineers to engage more effectively with learners of all ages. SMD will no longer have minimum of 1 percent set-asides through our missions, or issue disparate 3year grants. But we are taking a strategic approach, building on our science discipline-based legacy and looking for new approaches given Stakeholder priorities.
- Objectives?
  - Enable STEM Education
  - Improve US Scientific Literacy
  - Advance National Educational Goals
  - Leverage Through Partnerships
- How? Through the competitive selection of organizations that utilize NASA data, products, or processes to meet education objectives; and by enabling our scientists and engineers with education professionals, tools, and processes to better meet user needs. SME's continue to be funded within the Divisions, where appropriate.
- What? Selected 27 Science Education Cooperative Agreements announced Sept 25, 2015. Awards scheduled for January 2016.

### **SMD Science Education Restructuring**



- Selections posted at: http://www.nasa.gov/press-release/nasa-selects-science-education-partners-for-stem-agreements
- 27 Selections build upon legacy of excellence, balanced across diverse audiences, and fit within annual budget of \$42M/year towards meeting NASA Science Mission Directorate's desired Outcome and Objectives.
  - 27 of 73 compliant proposals selected (37%) for negotiations leading to cooperative agreement awards
  - 15 are from "Legacy" institutions (56%)
  - 3 selections support the 2017 Total Solar Eclipse, allowing for one full academic year of preparation
  - 15 include Astrophysics content
  - 16 include Earth Science content
  - 17 include Planetary Science content
  - 15 include Heliophysics content
- Scheduled start date for awards January 4, 2016.

# Public Access to Federal Research changes to ROSES



- All Federal research agencies must increase public access to the results of research funded by the Federal government.
  - This includes data and publications
- NASA's policy has always been to make scientific data available
  - Starting in 2015, ROSES proposals require a Data Management Plan (DMP)
  - DMPs describe whether and how data generated will be shared and preserved
  - Minimum requirement is published results: data in figures
  - Many ROSES elements do not expect any data requiring preservation
  - DMP can be entered on NSPIRES cover page, not part of proposal text (unless otherwise instructed, e.g. ADAP)
- NASA will start making publications available
  - All peer reviewed publications generated under NASA grants must be uploaded into PubSpace
  - PubSpace is based on successful NIH PubMed
  - It will be the responsibility of the PI to ensure that publications are uploaded
  - This requirement will start on data TBD; new terms and requirements will be added to all grants
- NASA will develop training material on filling out DMPs and uploading to PubSpace
  - Read ROSES and ROSES FAQs carefully

http://science.nasa.gov/researchers/sara/faqs/dmp-faq-roses/http://www.nasa.gov/offices/ocs/reportsPresentations.html

# Salary Redacted in ROSES Proposals changes to ROSES



- We currently redact NASA Civil Servant (CS) salary and overhead from ROSES peer reviewers, but we currently don't redact salary and overhead of non-NASA proposers.
- Starting in ROSES-2016 we will treat all proposers equally: All salaries and overhead will be included in the NSPIRES cover pages, but automatically redacted from reviewers.
- Only level of effort (FTEs/WYEs) will be in the body of proposals and assessed by peer reviewers.