



Emerging Coating Technologies for Realizing High-Reflectance and Stable Mirror Coatings for Observations in the Far Ultra-violet

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Outline



- Objectives and Goals
- Plasma-based e-Beam Treatment (LAPPS at NRL)
- Reactive PVD/XeF₂ Fluorination (GSFC)
- Broad-Band Reflectance & TRL Comparison
- Conclusions



Broad-band (90 nm – 3 μm) High Reflectance Mirrors for Next Generation Space – Based Telescopes



HWO Concept Telescope

Task Description

Deposit high performance optical broadband (FUV -> IR) mirror coatings:

Fluorination/passivation of Al-based coatings.

Atomic Layer Deposition (ALD) layers of AlF_3 .

Ion assisted depositions for low-absorption metal-fluoride to protect Al mirrors.

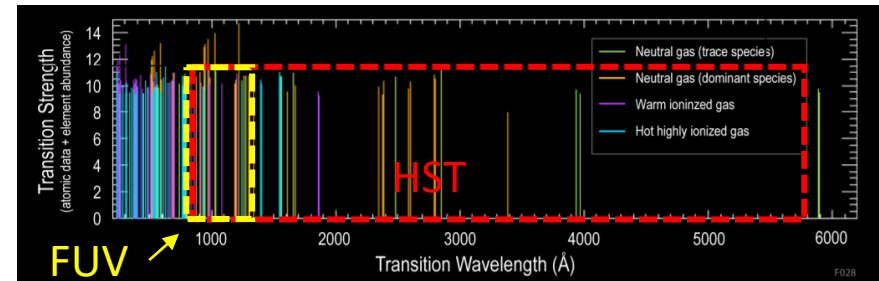
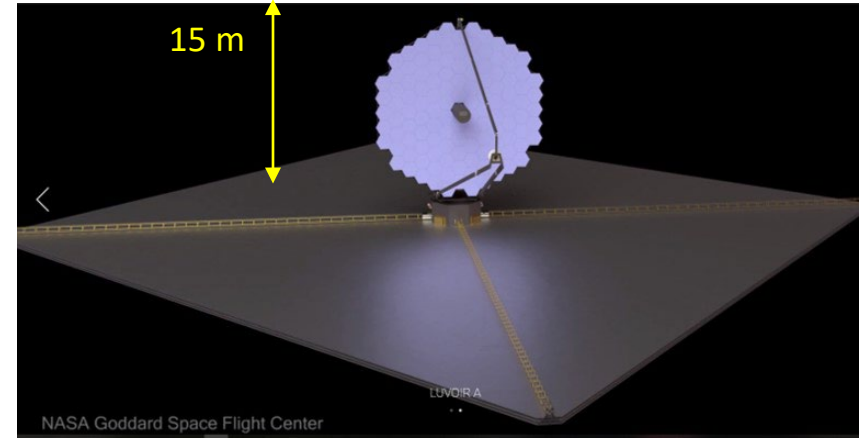
Driver / Need

Broadband coatings (90-2,500 nm) have been identified as an “Essential Goal” in the technology needs for a future Large-Aperture Ultraviolet-Optical-Infrared Space Telescope (LUVOIR and HabEx).

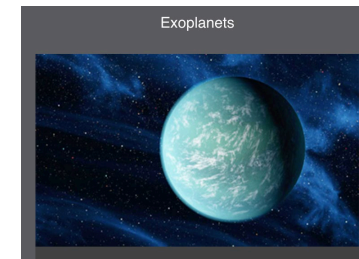
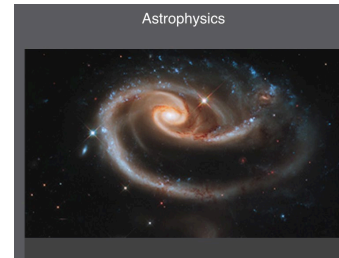
Benefits

High throughput & high signal-to-noise ratio (SNR) over a broad spectral range.

Enabling technology for astrophysics and optical exoplanet sciences (in shared platform).



(HST = Hubble Space Telescope)



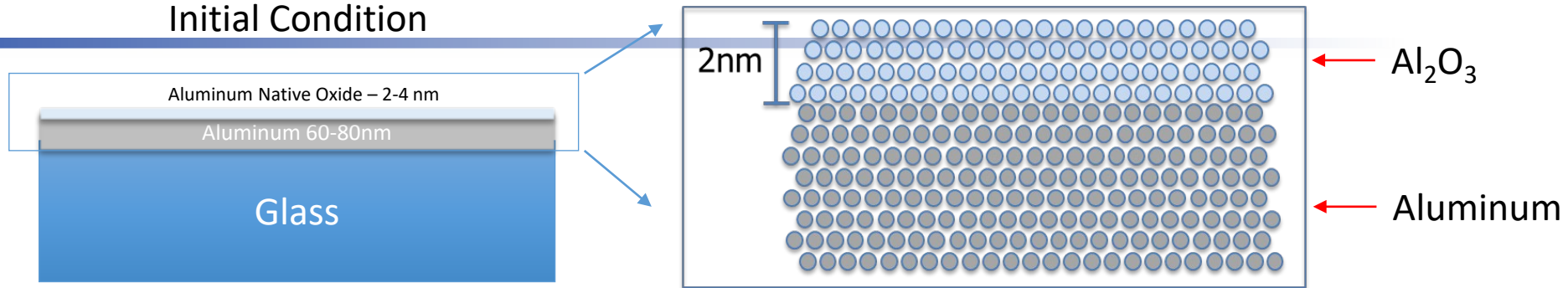
The LUVOIR Final Report (https://asd.gsfc.nasa.gov/luvoir/reports/LUVOIR_FinalReport_2019-08-26.pdf)



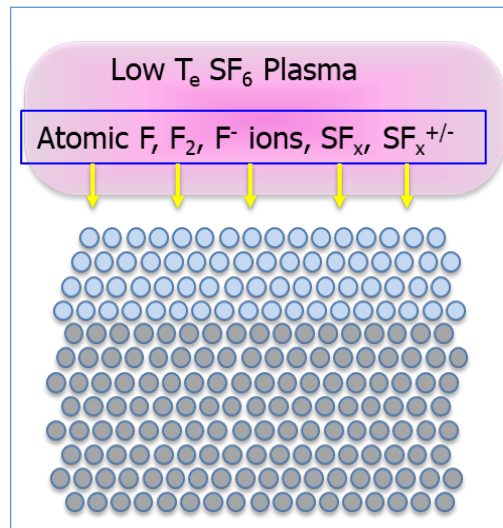
Approach: Using Low T_e Plasmas to Remove Oxide and Passivate Al surface with Fluorine



Initial Condition



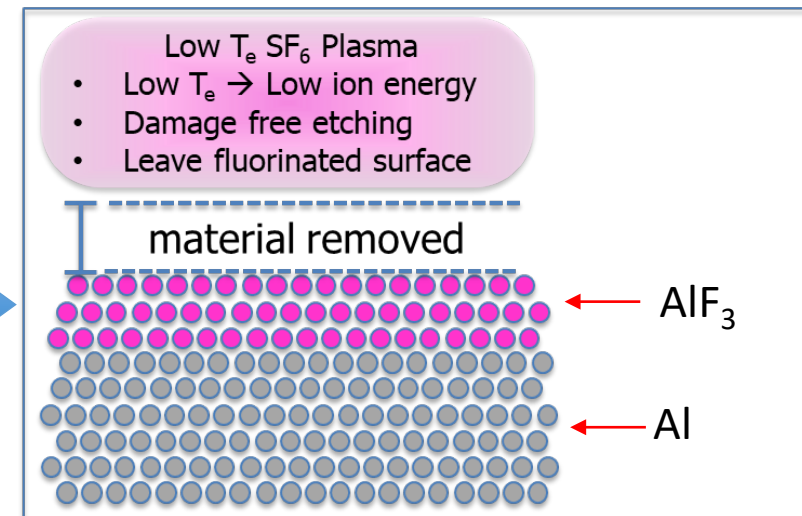
Plasma Treatment



Al_2O_3 etch threshold
 ≈ 20 eV in SF_6 plasma

E_{etch} for $\text{AlF}_3 > E_{\text{etch}}$ Al_2O_3

Final Condition



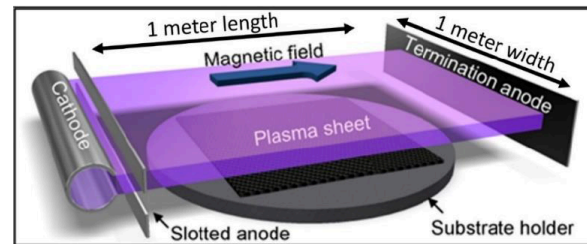
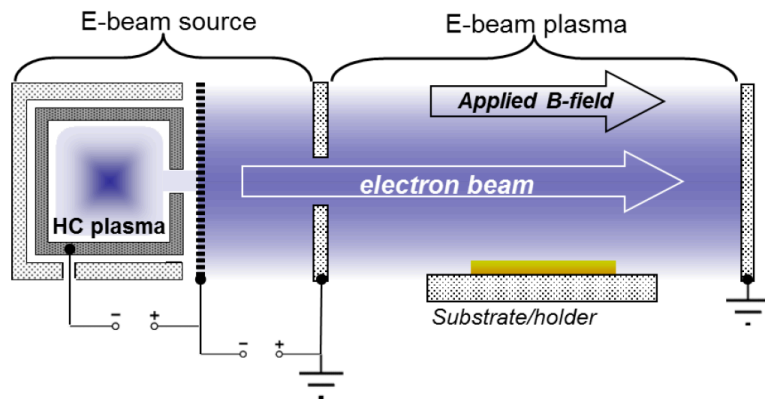


Approach: Using Ultra-Low T_e Plasmas to Remove Oxide and Passivate Al surface with Fluorine



LAPPS: Large Area Plasma Processing System

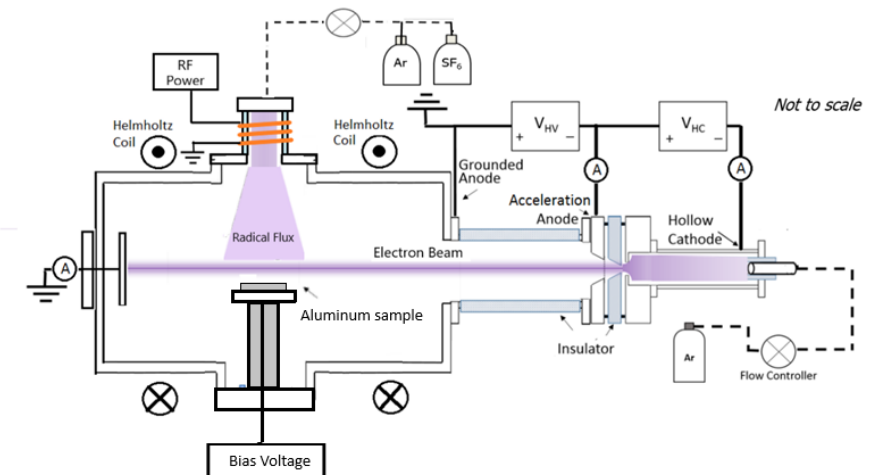
A processing system based on electron beam generated plasma



Basic Operation

- High-energy (\sim keV) beam injected into background to drive plasma production
- **Easily scaled to large area processing**
- Advantageous plasma properties
 - Easily controlled species production
 - Low electron temperature (T_e) plasma (<1 eV) \rightarrow Low ion energy (<5 eV) \rightarrow Low/No Damage

Radical Source: Inductively Coupled Plasma (ICP)



R.A. Meger et al., US patent no. 5,874,807 (Feb. 1999)

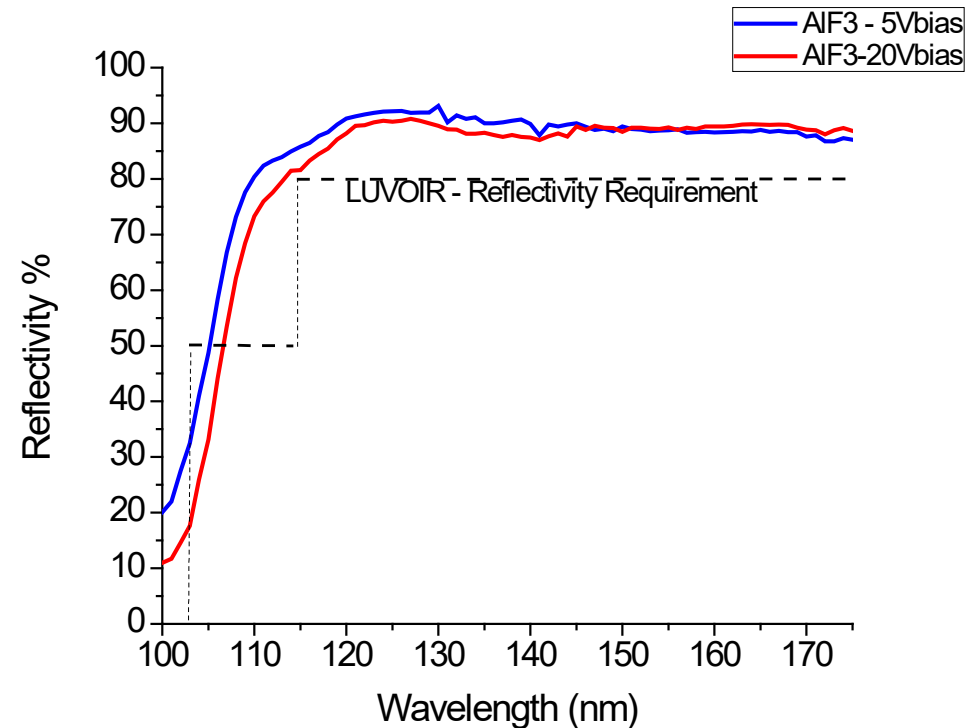
S.G. Walton et al., ECS Journal of Solid State Science and Technology, 4(6) N5033-N5040 (2015)



Low ion energy shows ability to grow high quality fluoride films



- Low ion energy processing capability
→ excellent reflectivity
- Demonstrates ability to grow fluoride coating without surface roughening or affecting underlying Al layer.

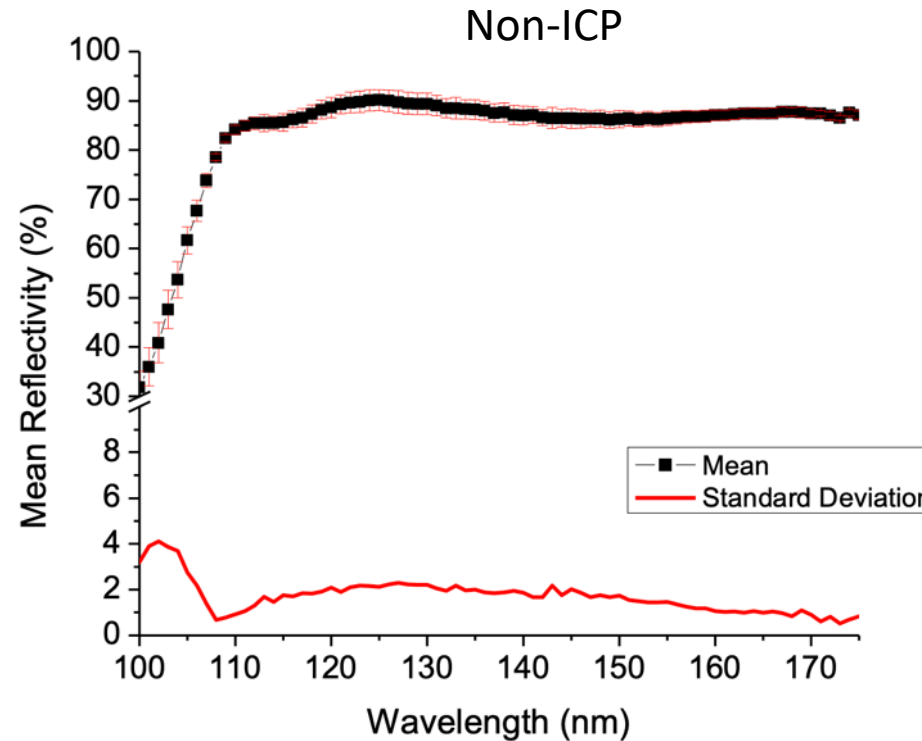
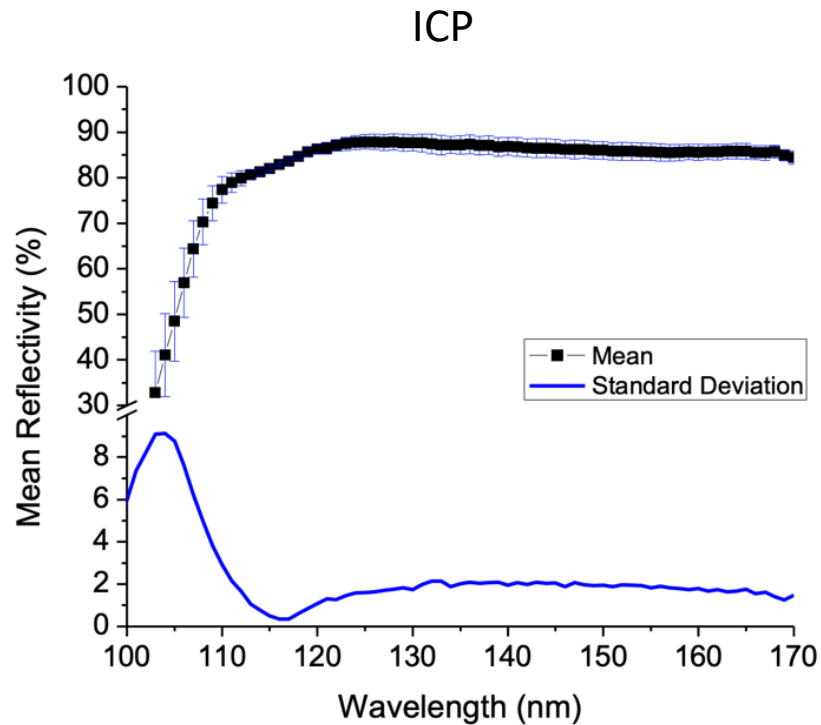


June 10, 2011

January 9th, 2024



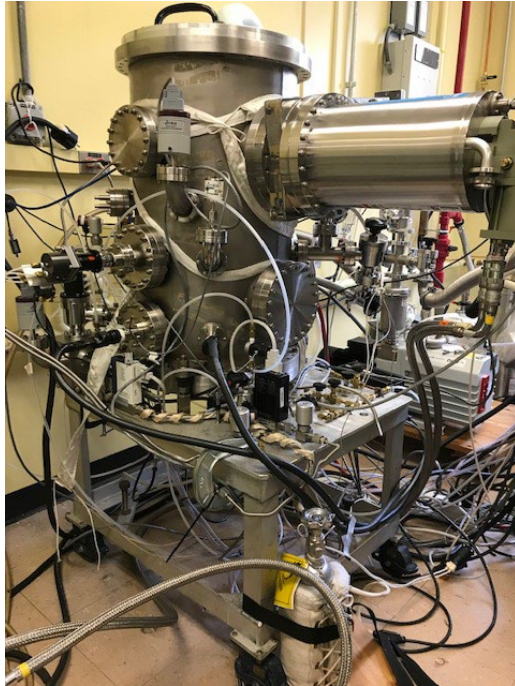
Uniformity Tests: ICP vs. Non-ICP (FUV)



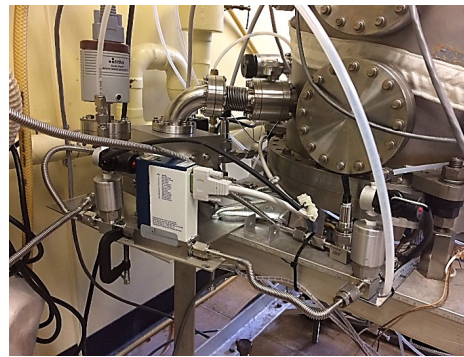
- The FUV reflectance displays a peak value close to 90% at Lyman-Alpha
- Excellent uniformity (standard deviation among 5 samples is < 0.20 %) over 115-180 nm
- 5 eV case had best reflectivity characteristics.
- Surface roughness does not seem to be significantly affected by ion energy.



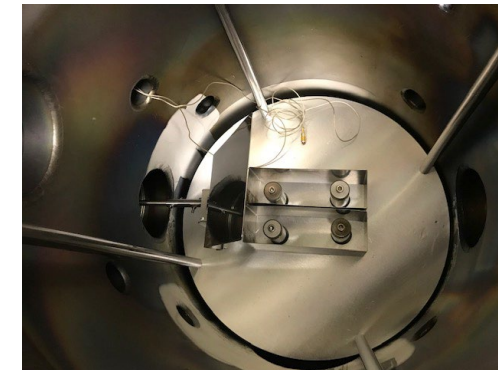
Research Coating Chamber Capabilities



UHV Research Chamber capable of thin film physical vapor deposition (PVD) and passivation.



XeF₂ Gas feed components capable of continuous flow or pulsed flow.



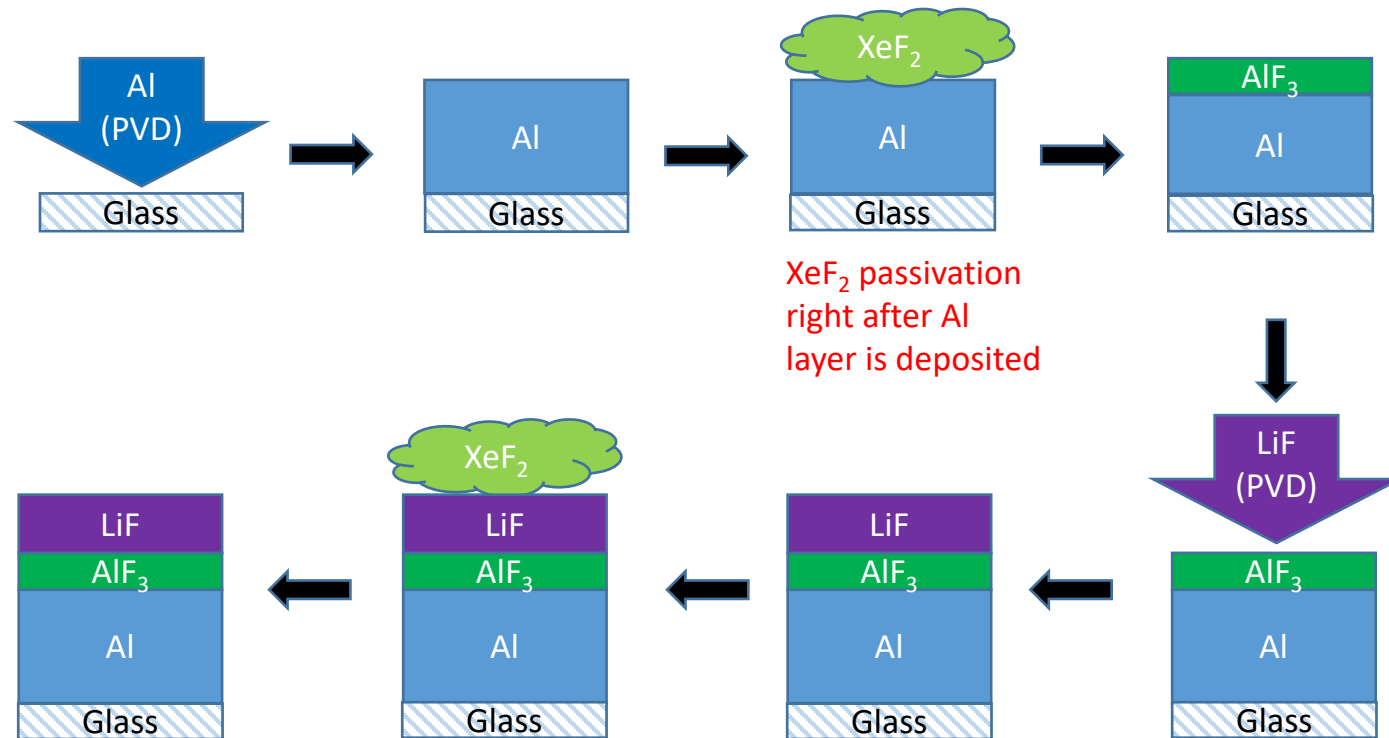
Inside view of RC with 2-material PVD deposition system.

R&D for combined PVD & fluorination of Al-based high performance FUV coatings.

Chamber is in operation and experimentations on producing various schemes of fluorination are ongoing



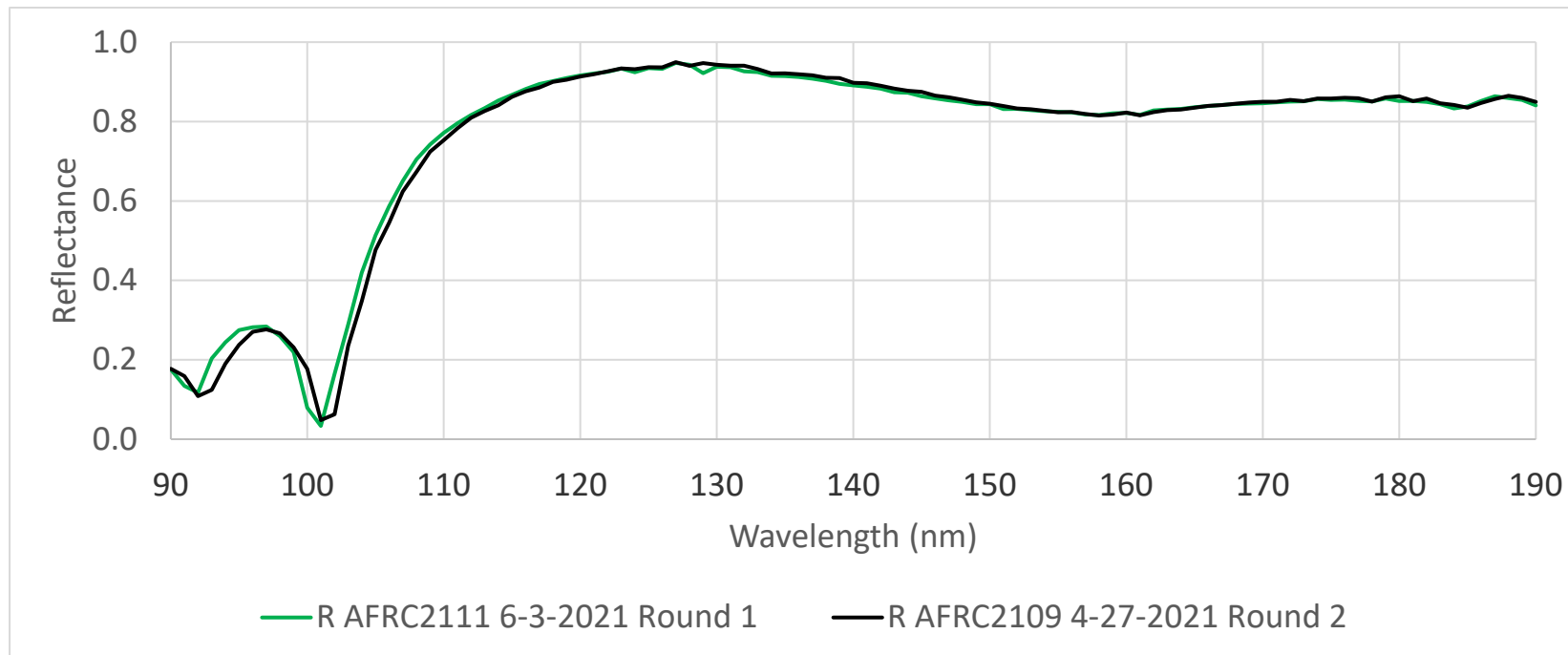
Reactive Physical Vapor Deposition (rPVD)





Reflectance Result rPVD: Al+LiF

Highest R at H Lyman-alpha **ever reported** 😊

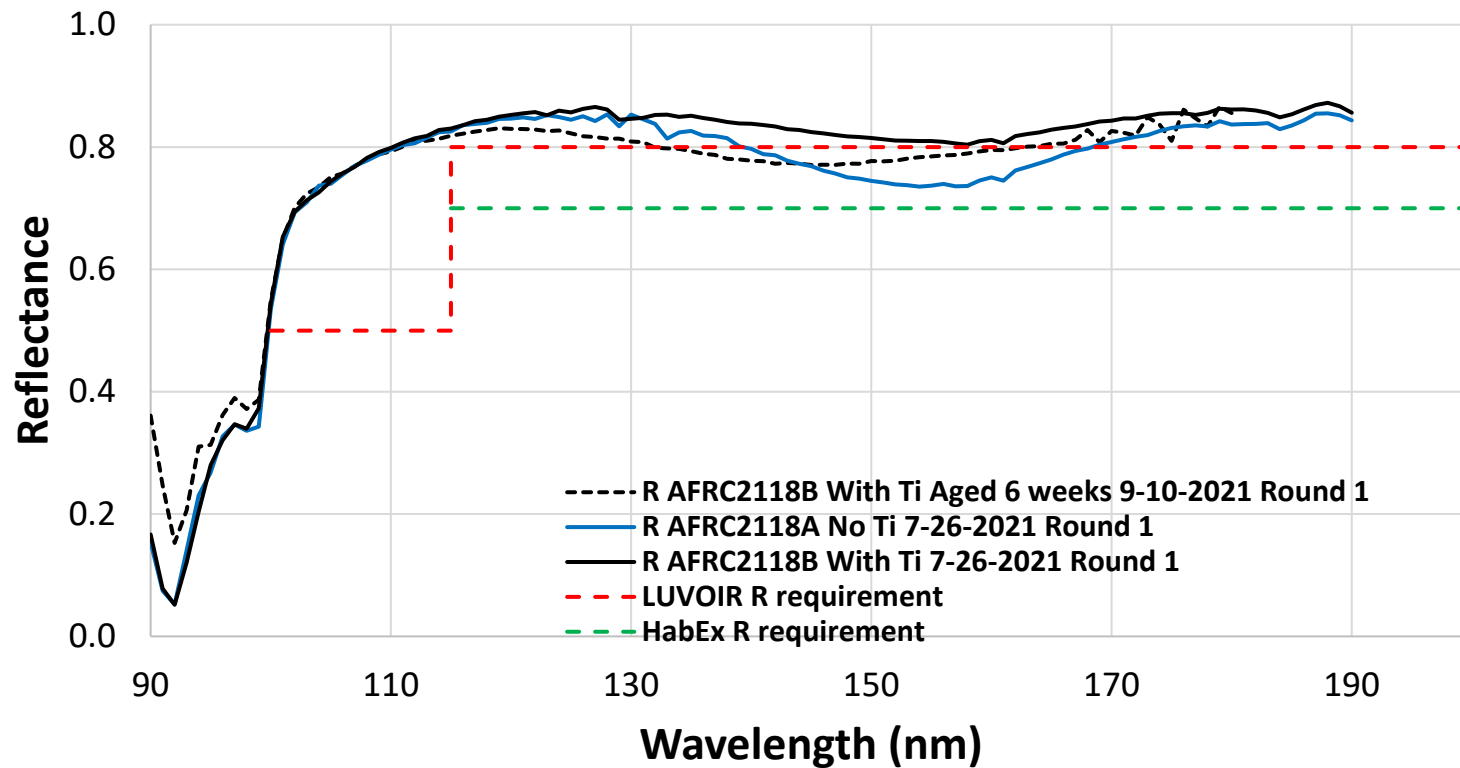




Technical Targets

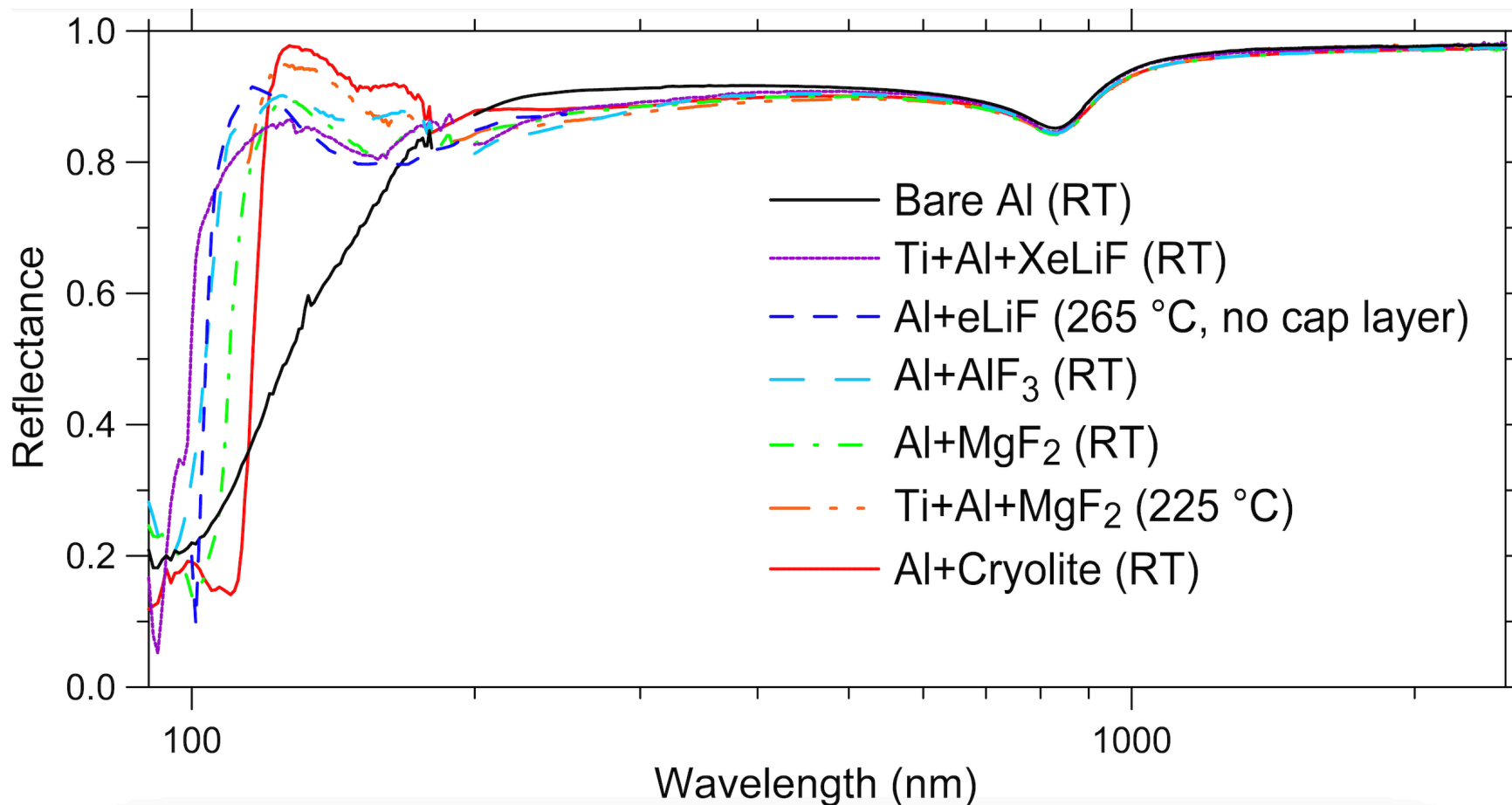


- R data of mirrors with and without Ti seed layer meeting HabEx and LUVVOIR R requirements





Broadband Reflectance





Comparison Various Coating Technologies



Coating Technology	Coating Properties						
	λ Value @ R>60%	TRL	Largest Optics Coated	Elevated Substrate Temperatures Required?	Max. Relative Humidity for Coating Stability	Dielectric Layer Deposition Process	μ -roughness
Bare Al	>150 nm	6	> 1 meter	No	~70-100%	-	~0.78 nm
Al+MgF₂	>111 nm	6	> 1 meter	No	~70%	PVD	~1.84 nm
Al+LiF	>101 nm	6	~0.5 meter	No	< 30%	PVD	Fresh 1.5-2.5 nm Aged >3 nm
Al+eLiF+MgF₂	>102 nm	~5-6	~ 0.3 meter	Yes	~60 %	eLiF (PVD) MgF ₂ (ALD)	1.5-2.5 nm
Al+AlF₃ (e-beam)	>105 nm	~4	5x5 cm ²	No	~60%	E-beam Plasma	~0.81 nm
Al+XeLiF	>103 nm	~3	5x5 cm ²	No	~60%	Reactive PVD	~1-1.5 nm



Conclusions



- The Large Area Plasma Processing System at NRL has demonstrated oxide removal and fluorine passivation of Al mirrors **over 6" diameter area**
 - 15 nm – 25 nm thick AlF_3 optical coatings
 - Reflectivity approaching specs for next generation space telescope mirrors
 - $\langle R \rangle(100-200\text{nm}) = 81\%$
 - $\langle R \rangle(120 - 3000\text{nm}) = 93\%$
 - Demonstrated control of FUV reflectance properties of Al with a metal fluoride overcoat (MgF_2 , AlF_3) by varying ion energy, radical density, and plasma exposure time.
 - Verification of reflectance uniformity, environmental stability, microroughness and polarization characterization has been demonstrated to be at TRL 4.
- A second coating technology (XeLiF), which involves passivation with a fluorine containing precursor (XeF_2) gas is showing promising reflectance in the FUV.
- The surface XeF_2 passivated Al does not show (via AFM) significant changes in low to moderate humidity even after months in nominal lab conditions.



Acknowledgments



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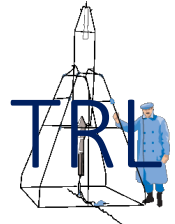
Research Professor and director of Center for Astrophysical Sciences, Department of Physics and Astronomy, Johns Hopkins University, Baltimore, MD, 21218

Funding Sources:

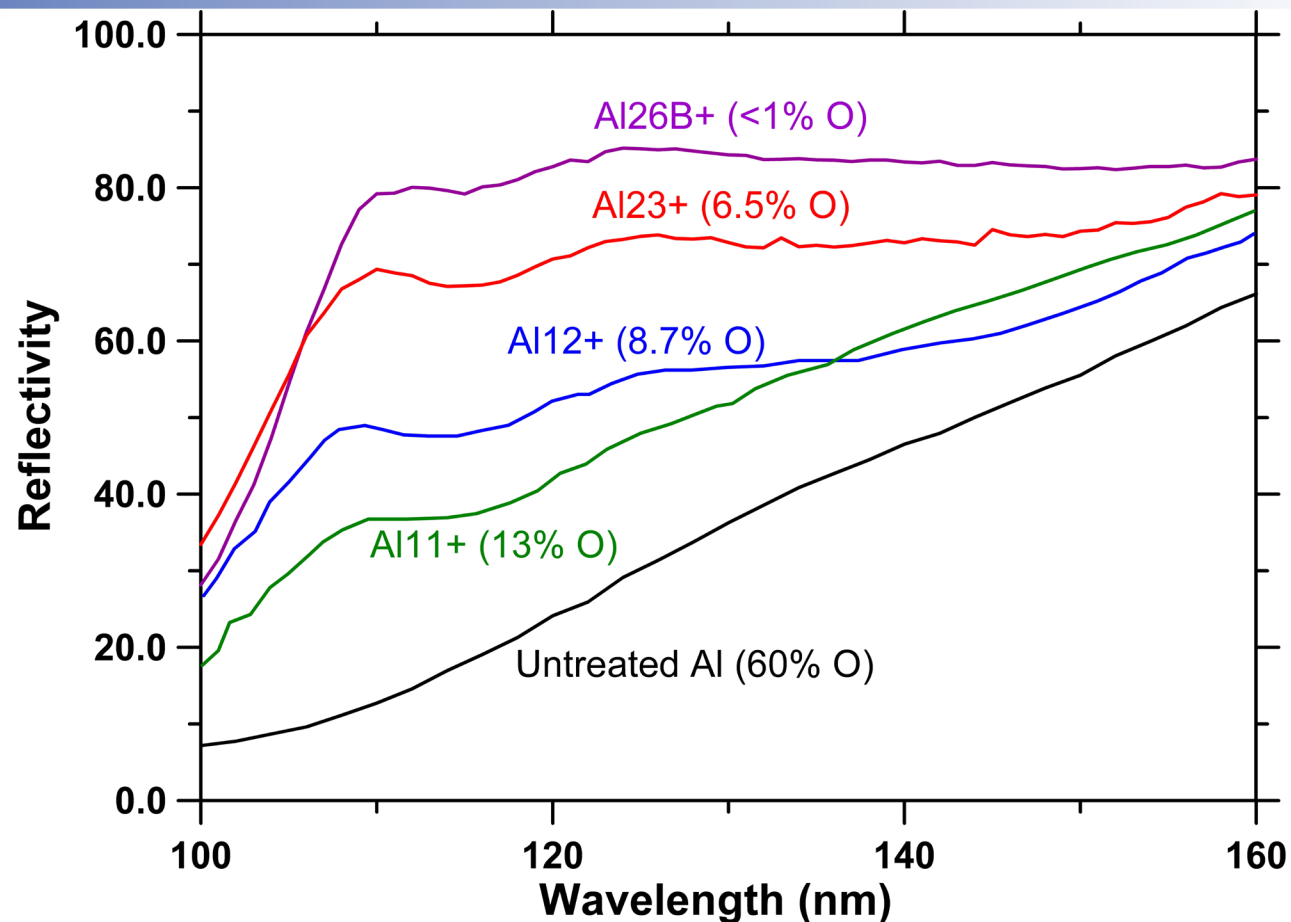
- *NASA Strategic Astrophysics Technology grants # 21-SAT21-0027*
- *Brigham Young University department of Physics and Astronomy*



Backup Slides



TRL 3 Validation: FUV Reflectance versus O₂ Content



FUV Reflectance for Ebeam + Radical Source Treatments with varying treatment time and varying ion energy

- Correlating XPS results with process conditions seems hint at two possible trends
- First – increased ion energy led to slightly higher oxygen content
- Second – longer exposure time led to slightly higher oxygen content
- Overall – higher oxygen content was correlated with decreased FUV reflectivity



Uniformity Performance Large Area Growth in 6" LAPPS Reactor

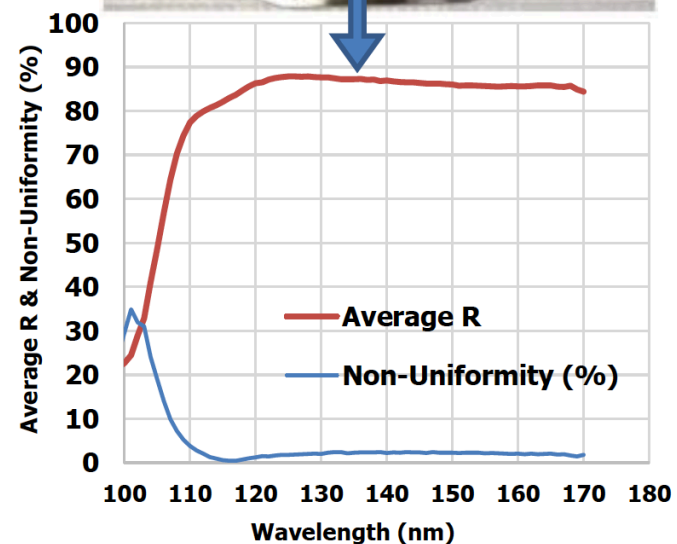
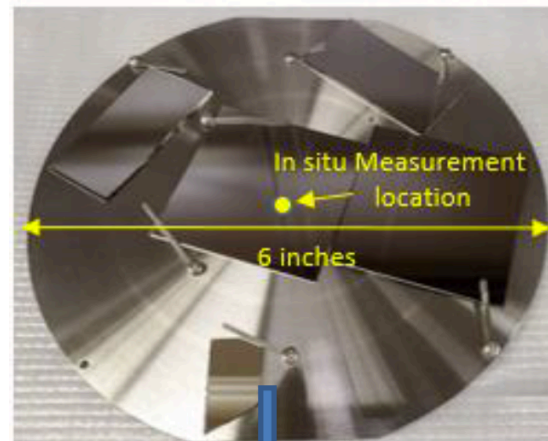


Uniformity across 6" substrate holder:

- Target thickness 25 nm
- 5 samples treated simultaneously
- Real time monitoring of center sample
- Post treatment reflectivity analysis of all samples
- Post treatment ellipsometry mapping of fluoride thickness for all samples.

Ex situ ellipsometry mapping results

Sample	Chamber location	Average thickness
Al8A	top	24.6 ± 1.37 nm
Al5	middle	25.5 ± 1.74 nm
Al6	right	23.9 ± 2.54 nm
Al7	left	22.1 ± 0.59 nm
ECA1	bottom	24.9 ± 1.37 nm



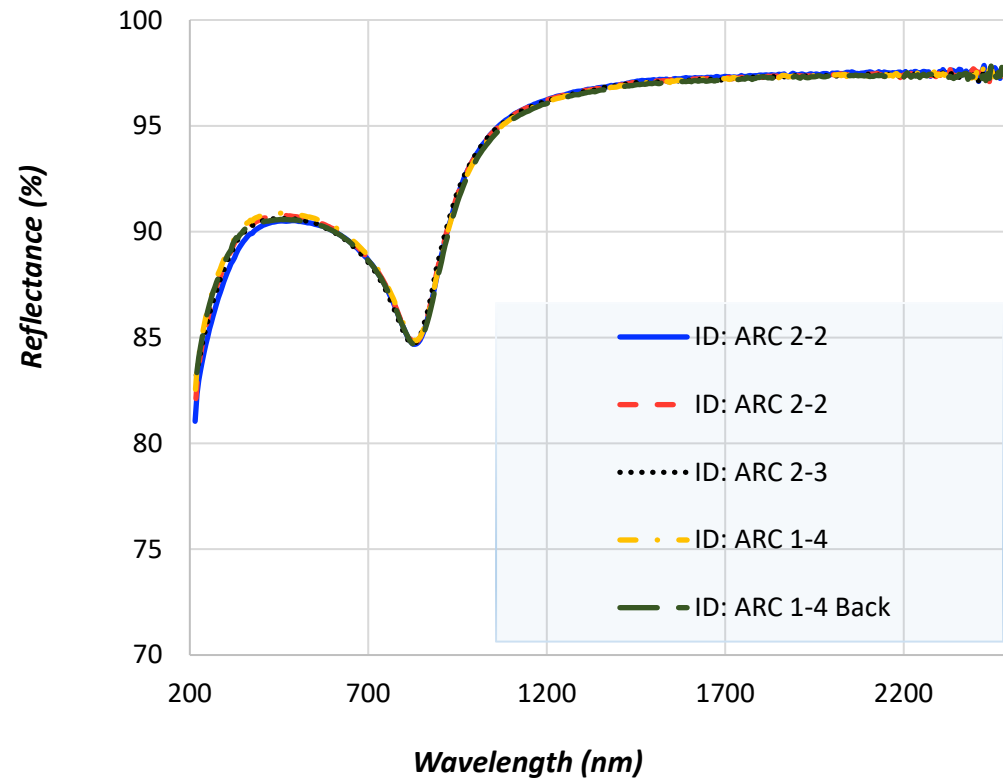
Top: Sample configuration for the uniformity test.
Bottom: Mean reflectance (red) and R non-uniformity (blue) from 6" sample array.



UV/Vis/NIR Polarized Reflectance



UV/Vis/NIR Average R (AOI = 12°)



Diattenuation

